

Cluster findings on the heavy ion dynamics and budget

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**Work combines results from CIS-Kiruna team
(Hans Nilsson, Rikard Slapak, Audrey Schillings etc)**

The diagram illustrates the Earth's magnetosphere with various plasma regions and their characteristics:

- (a) refill plasmasphere:** The innermost region, colored light blue, labeled "Refill" and "cold".
- (b) inner magnetosphere:** The region immediately outside the plasmasphere, colored light pink, labeled "cold".
- (c) tail plasma sheet:** The elongated region on the right side of the diagram, colored light green, labeled "hot".
- (d) mixing with solar wind:** The outermost region, colored light blue, labeled "hot".

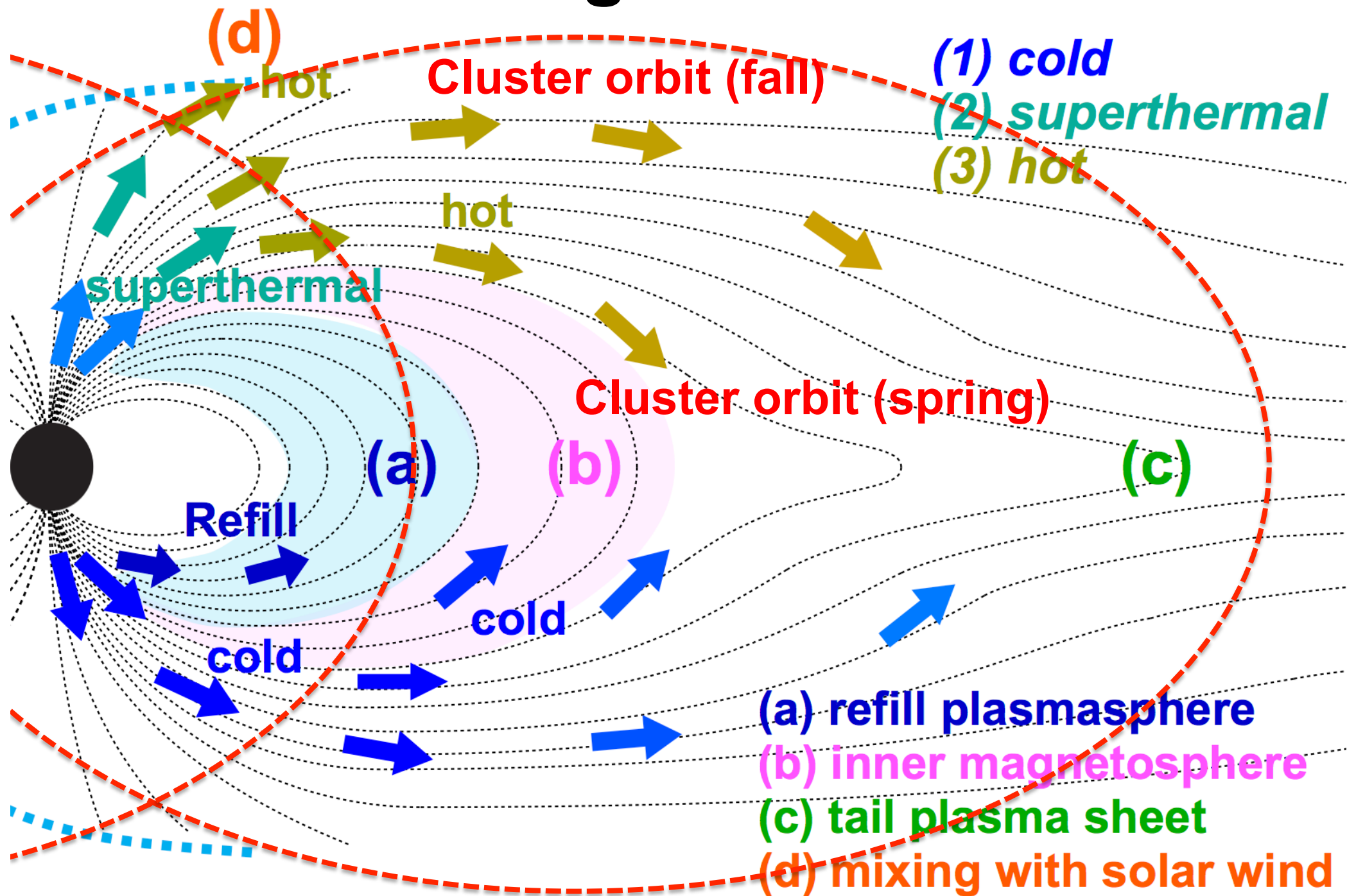
Arrows indicate the flow of plasma from the solar wind (d) into the magnetosphere, through the inner magnetosphere (b), and into the tail plasma sheet (c). The regions are labeled with their respective temperatures: (1) cold, (2) superthermal, and (3) hot.

(1) cold
(2) superthermal
(3) hot

(c)

- (a) refill plasmasphere
- (b) inner magnetosphere
- (c) tail plasma sheet
- (d) mixing with solar wind

Advantage of Cluster



Outline

(1) O^+ in the **magnetosheath and plasma mantle** (thanks to the orbit)

⇒ (a) Important route for O^+ escape.

(b) Substantial mass loading and SW dynamo.

(2) O^+ in the **magnetosphere** also escapes

⇒ (a) Magnetotail: more tailward.

(b) Inner magnetosphere: more than half are lost

(1)+(2) Total amount (flux) of heavy ion escape

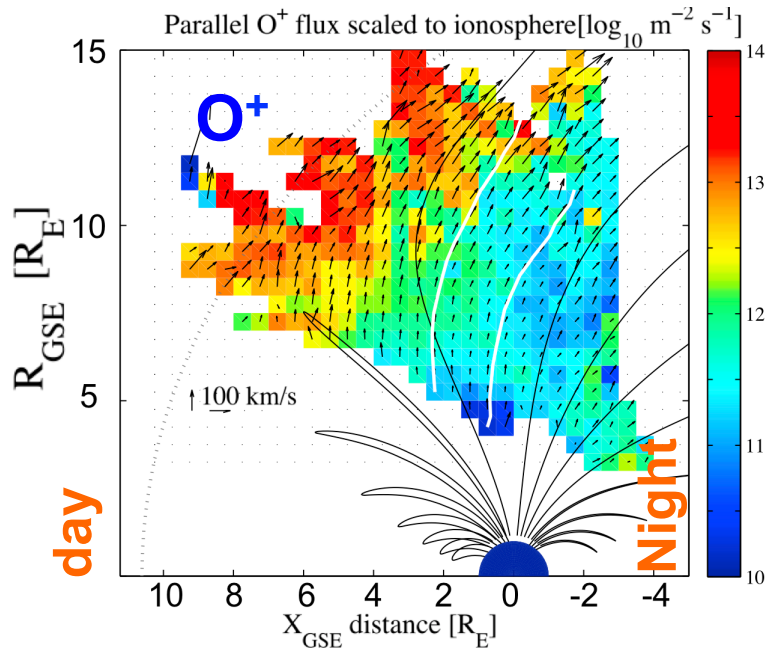
~ 10^{25-26} per second.

(3) Returning O^+ (and H^+/He^+) forms **"zoo" of keV & sub-keV ion distribution**

⇒ (a) Time-dependent E-field is important for sub-keV "zoo".

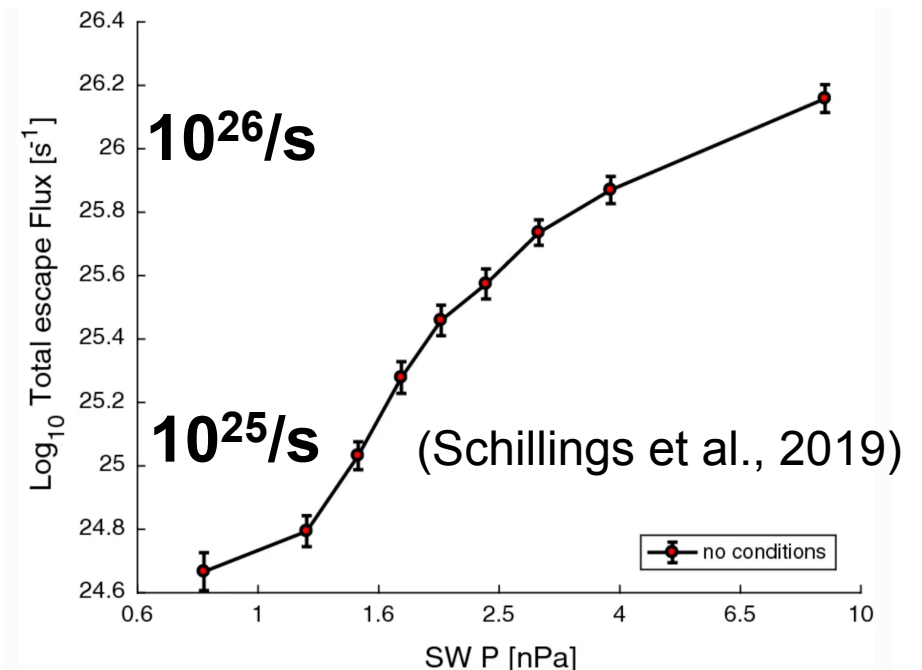
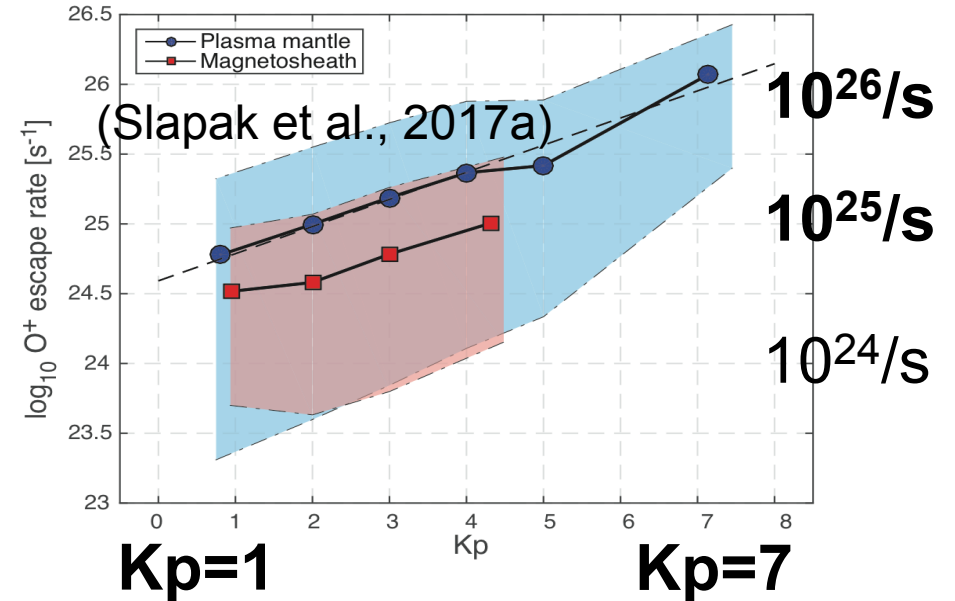
(b) Mass-dependent energy distribution (against drift/fluid theory).

(1a) mantle/sheath: **CIS O⁺ (2001-2005)**



(Nilsson et al., 2012)

O⁺ direct loss $\sim 10^{25-26}/\text{s}$
 $\propto \exp(0.45 \cdot K_p)$



(1b) O⁺ inside solar wind = Mass Loading

Mass loading = inelastic mix

⇒ **kinetic energy (K) is not conserved**

($\Delta K/K = \Delta u/u =$ deceleration rate)

⇒ **Electric energy** ⇒ **ionosphere via $J_{||}$**

amount is substantial

$\Delta K \approx (-1/4) \cdot u_{SW}^2 \cdot \text{loaded-mass-flux}$

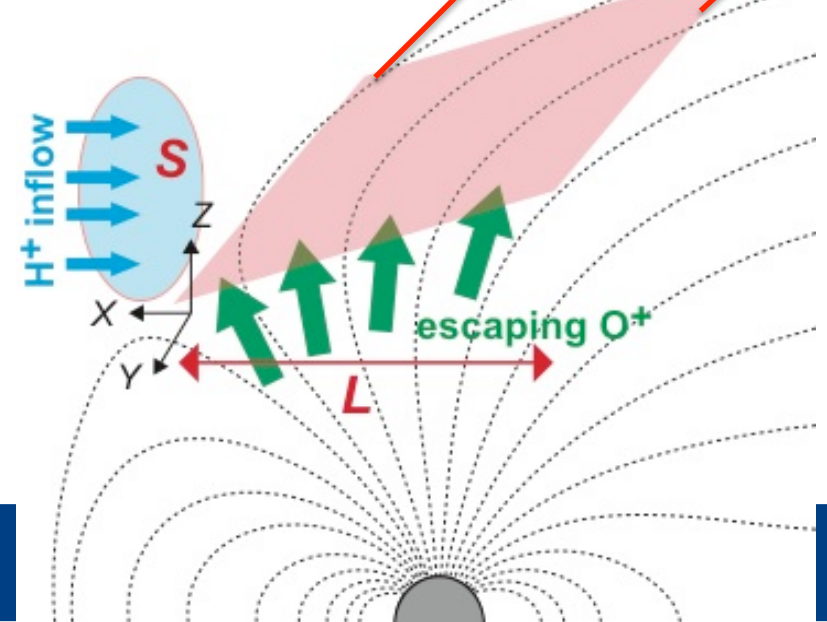
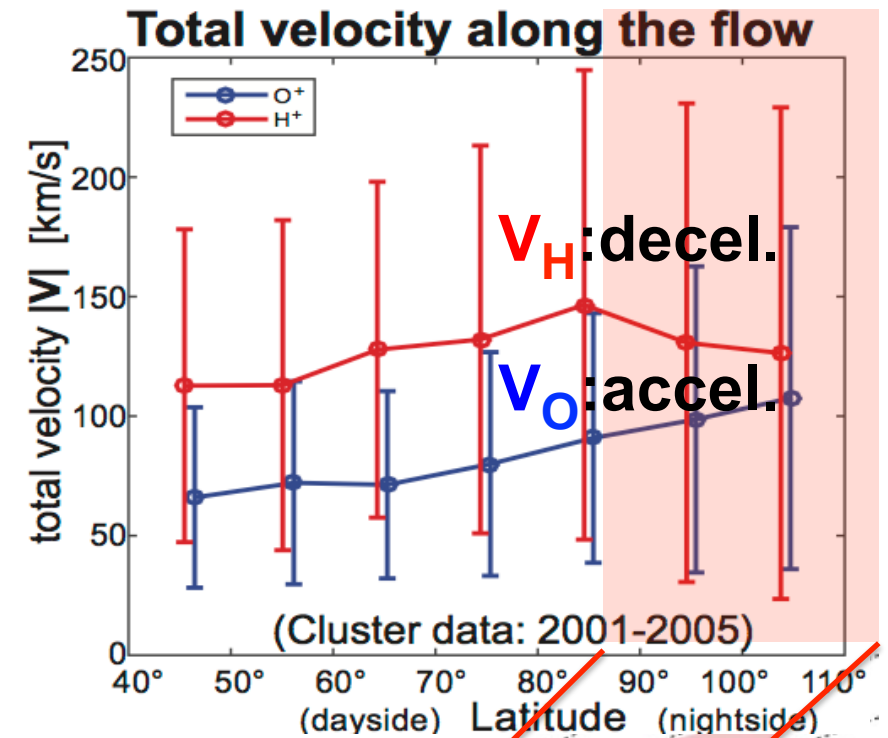
$\approx 10^9\text{-}10^{10} \text{ W}$ for O⁺ flux $\approx 10^{25}\text{-}10^{26}/\text{s}$

⇒ Explains **cusp current** system

(both **amount + independency**)

(Yamauchi and Slapak, 2018)

- IMF $B_z < 0$: **extra open (but deceleration)**
- IMF $B_z > 0$: **extra deceleration = stagnate**
⇒ **multiple injection** by M-I coupling?



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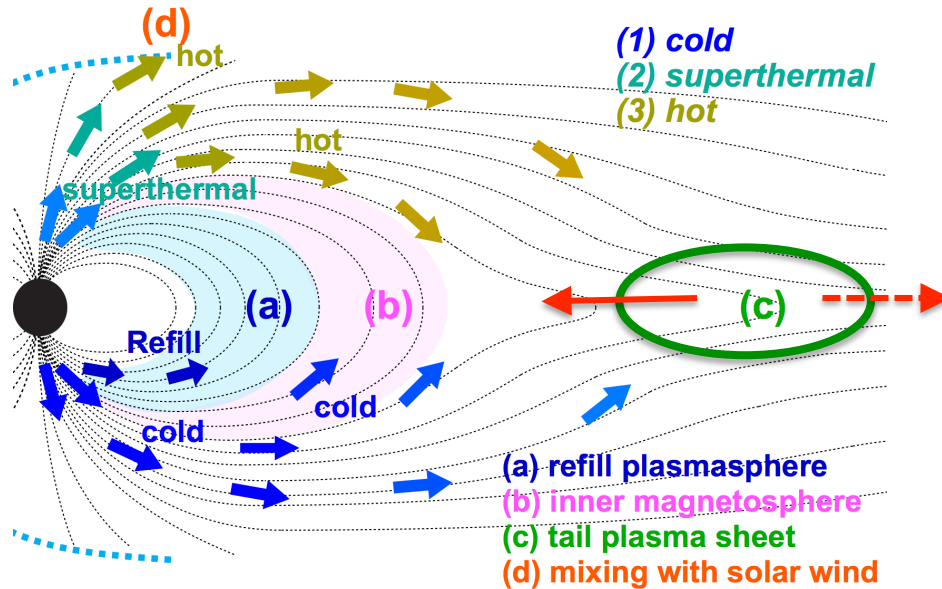
⇒ (a) Time-dependent E-field is important for sub-keV "zoo".

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(2a) Fate of ions: **tail plasma sheet**

Cold ions are also energized $\Rightarrow$ Cluster/CIS can detect all

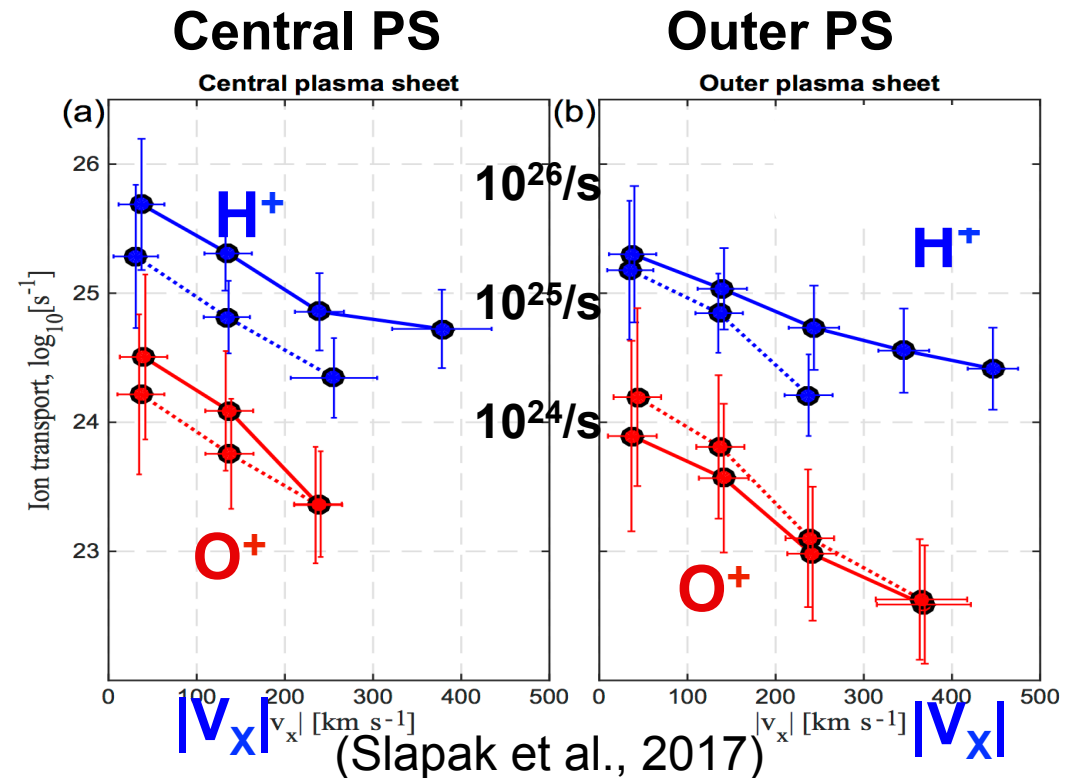
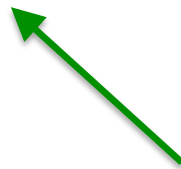
Cluster/CIS (2001-2005)



nearly half-half

$\Rightarrow$ **Net Earthward $O^+ \sim 10^{24} \text{ s}^{-1}$**

cf. direct escape : $O^+ \sim 10^{25-26} \text{ s}^{-1}$



— earthward ($O^+ \sim 0.6 \times 10^{25} \text{ s}^{-1}$)
 - - - tailward ($O^+ \sim 0.5 \times 10^{25} \text{ s}^{-1}$)

Known escape rate with Cluster

(a) polar outflow of hot O^+ ($\times 10^{25} \text{ s}^{-1}$)

magnetosheath O^+ (**escape**) ~ 0.7

plasma mantle O^+ (**mostly escape**) ~ 2

(Nilsson et al. 2012,
Slapak et al., 2017a)

(b) magnetotail O^+ ($\times 10^{25} \text{ s}^{-1}$)

tailward O^+ (escape) ~ 0.5

(Slapak et al., 2017b)

earthward O^+ $\sim 0.6 \Rightarrow$ **roughly half escapes later**

(c) plasma sheet cold H^+ ($\times 10^{25} \text{ s}^{-1}$, with O/H ratio $< 10^{-2}$?)

3 $\sim$ 10 for H^+ (**<0.3 for O^+**) $\Rightarrow$ more than half escapes

(Eriksson et al. 2006, Engwall et al., 2009)

(d) plasmaspheric cold H^+ and He^+ ($\times 10^{25} \text{ s}^{-1}$, with O/H ratio $\sim 10^{-2}$)

Plume : **peak 100** for H^+ (average **<0.1 for O^+**)

Wind : **50** for H^+ (**<0.5 for O^+**)

(Darrouzet et al. 2009,
Dandouras et al. 2013)

But important at 3 Gyr ago

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$\sim 10^{25-26}$ per second

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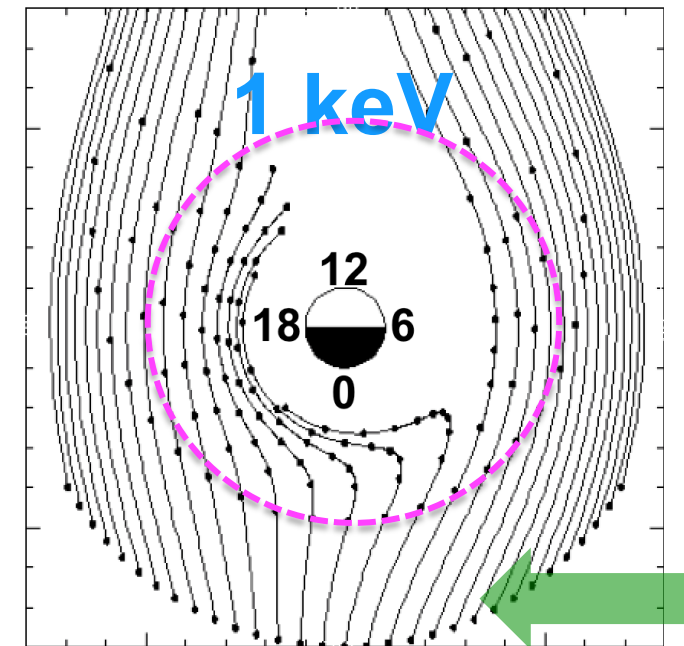
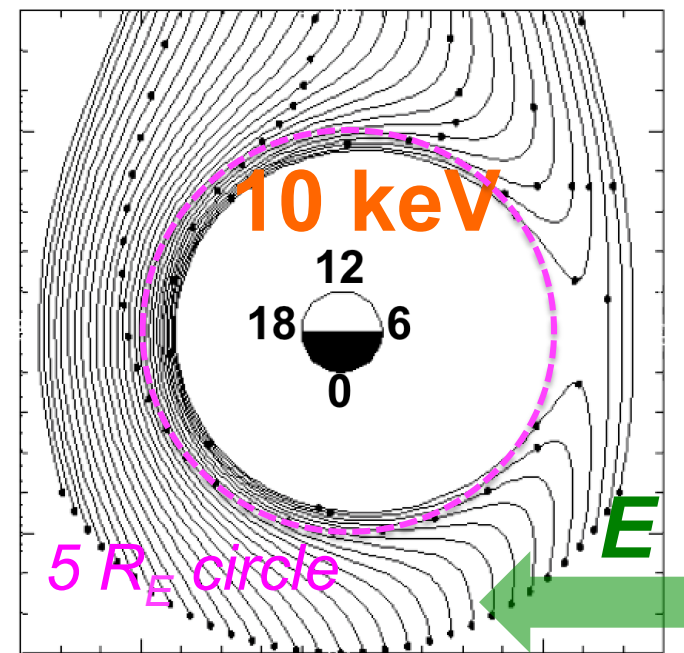
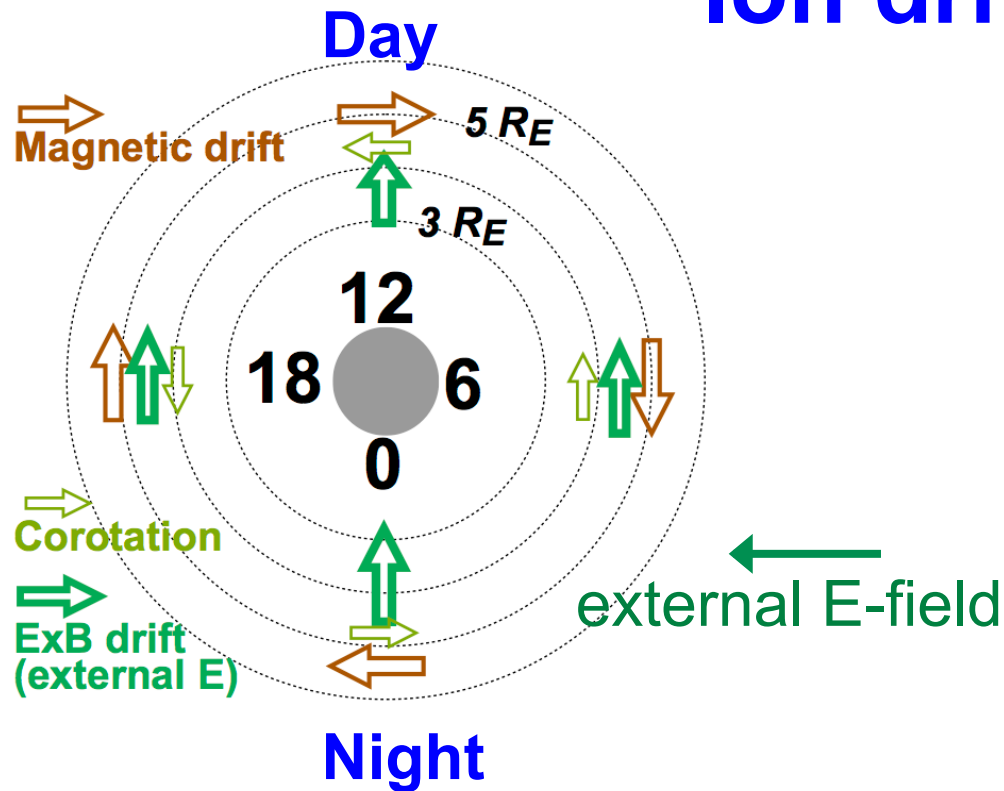
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Ion drift



electric drift: $|V_E| = |E|/|B|$ only

magnetic drift: $|V_B| \propto \text{Energy and } B \text{ only}$

$$V_B = \frac{3g(\alpha)W}{qRB}$$

$\Rightarrow$ same V_{Drift} for same energy for all mass

But, this is just a model

$v_d \cdot E > 0 \Rightarrow$ adiabatic energization

Three basic populations

accelerated plasma
sheet (westward drift)

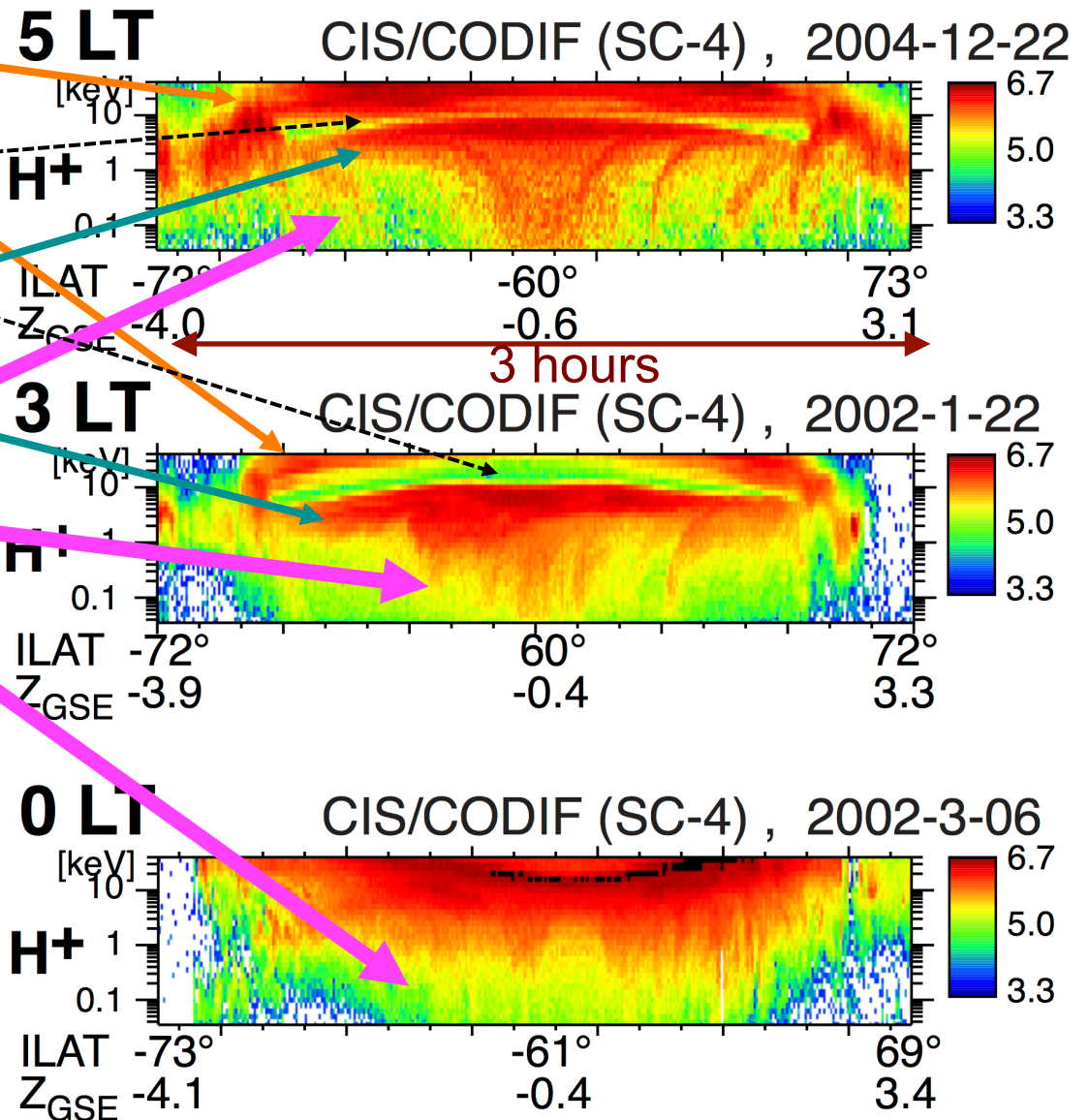
energy gap

plasma sheet
(eastward ExB drift)

superthermal (<50 eV)
intermittent supply

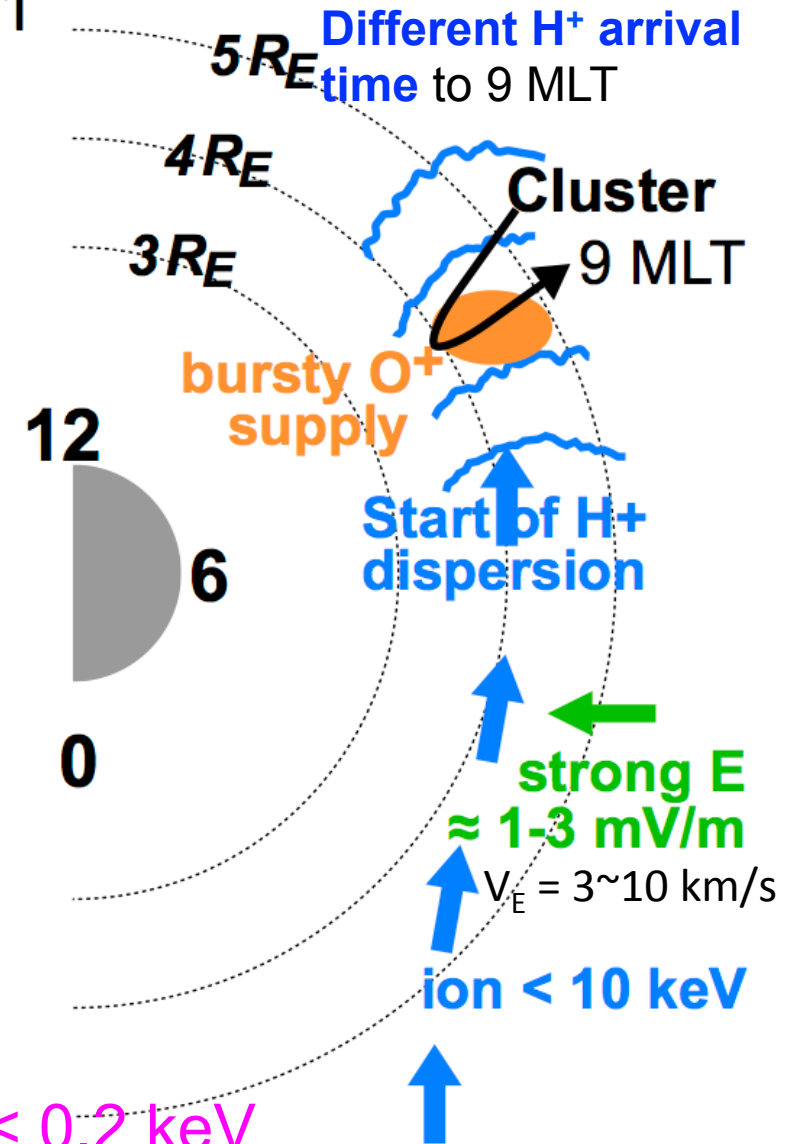
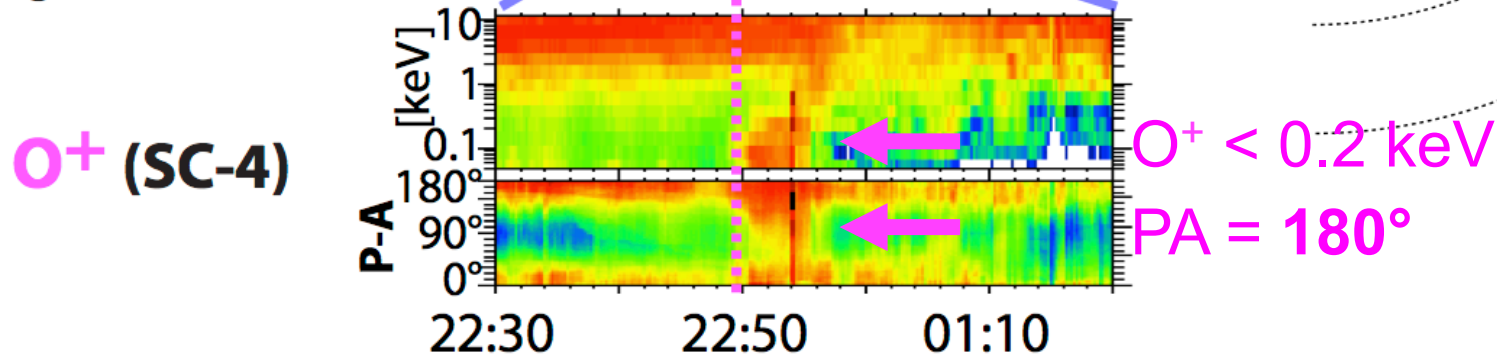
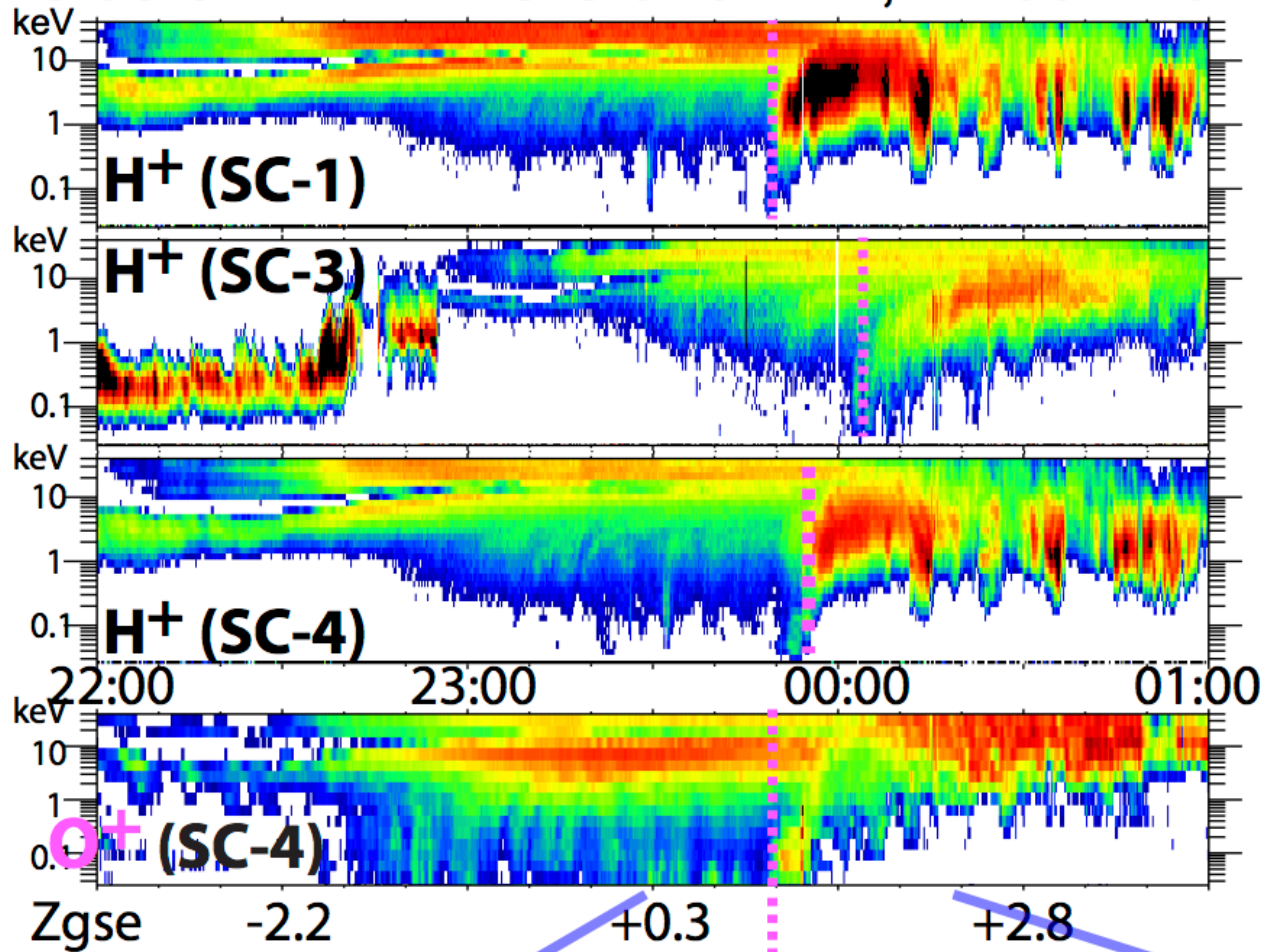


Highly elliptic orbit $\Rightarrow$ traverses inner magnetosphere quickly



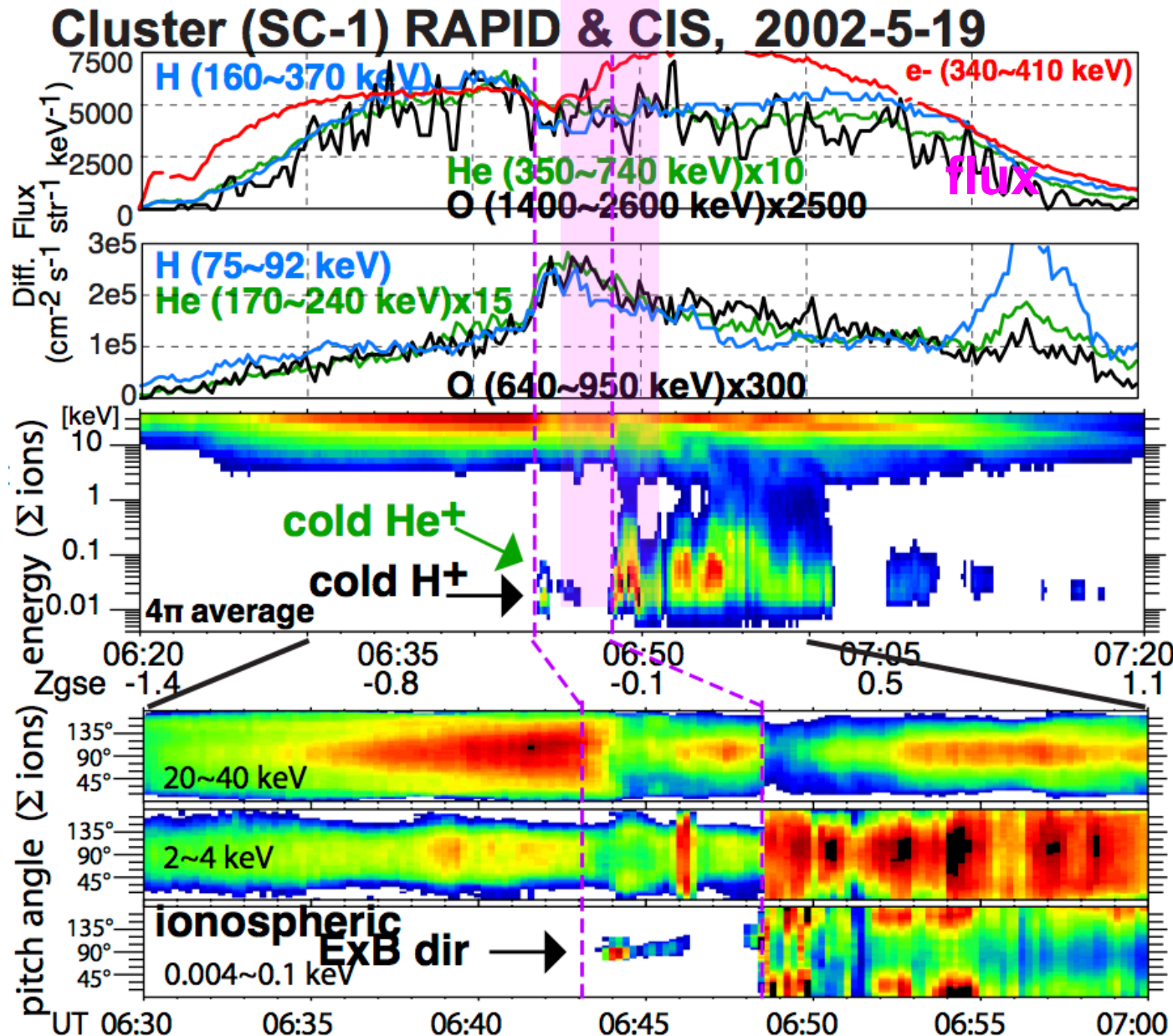
dayside source vs drift motion @substorm

Cluster RAPID+CIS at 9 MLT, 2001-10-21



mass **mystery 1**: Velocity-dependent drift

cf. same V_{Drift} for same energy



ions > 5000 km/s

ions $\approx$ 3000 km/s

@Sudden E

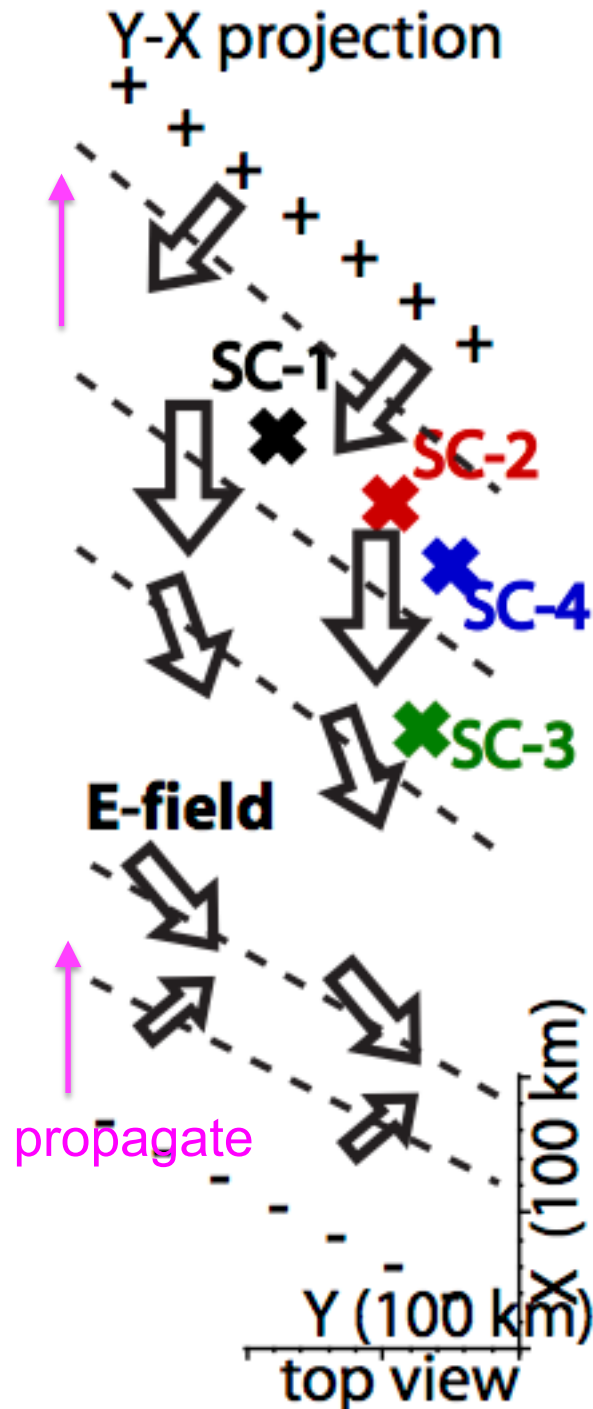
($\sim 10 \text{mV/m}$)

CIS Σ ions

PA Σ ions

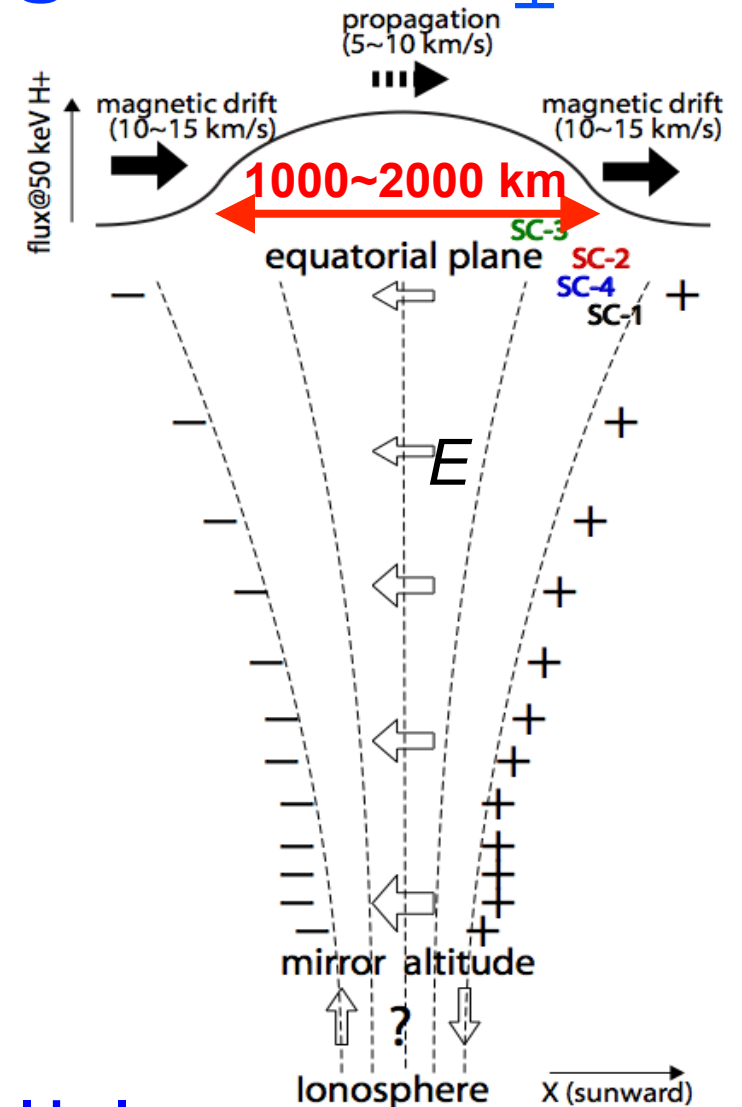
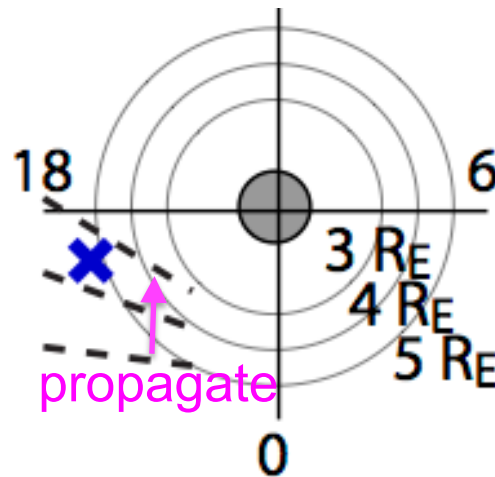
(Yamauchi et al., 2009)

This event = @Propagating auroral $E_{\perp}$

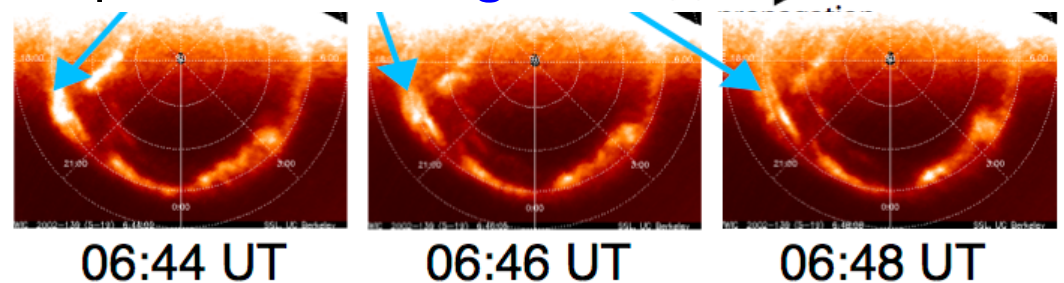


Timing by SC1 - SC4

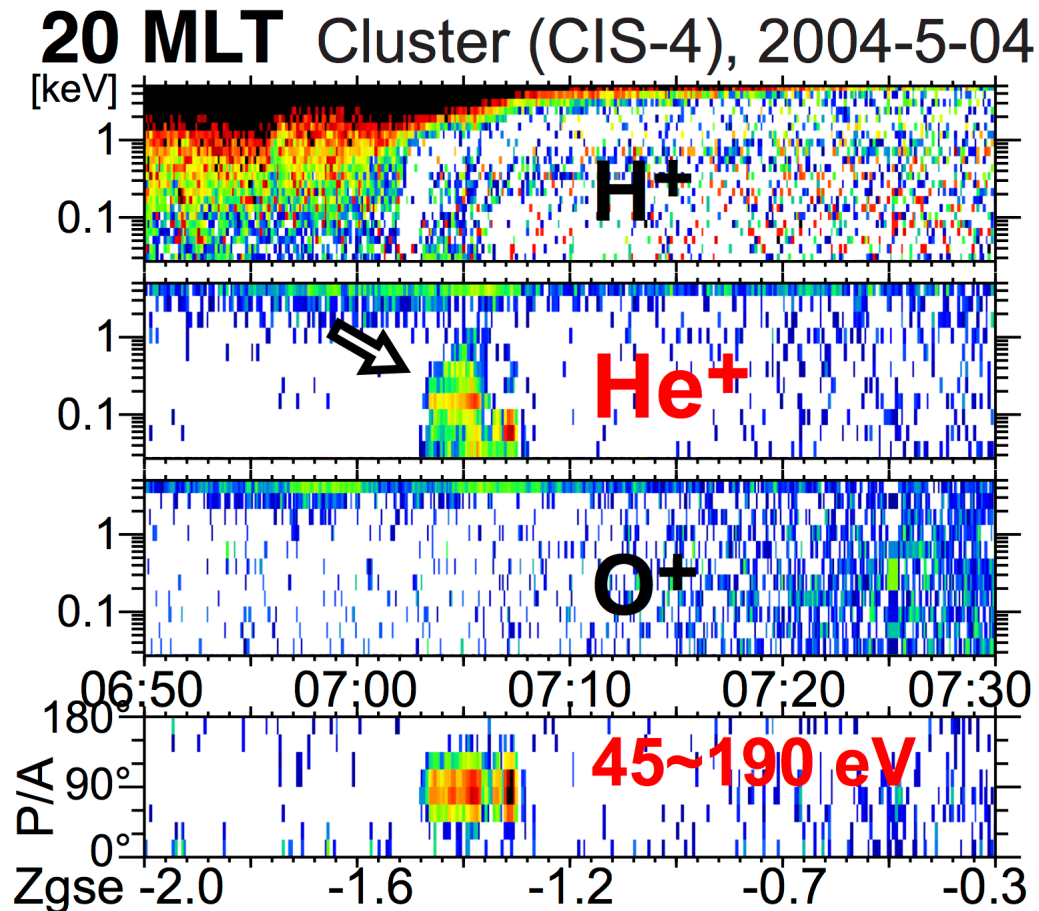
$\Rightarrow E$ is nearly $\perp$ to wave front, which propagates sunward



Map to auroral bulge



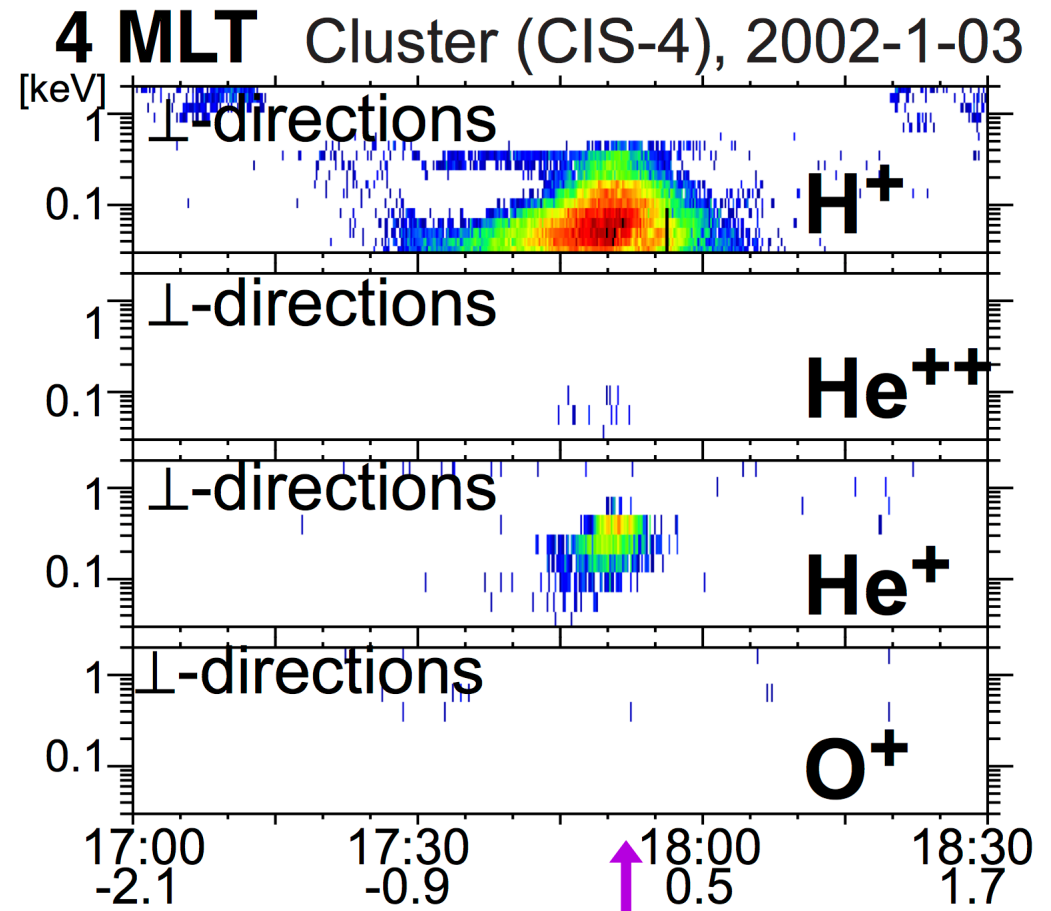
mass mystery 2: Local $\perp$ heating



Rare but probably related to substorms

**Why only He & not equator?
(I still do not have answer)**

(Yamauchi et al., 2014)

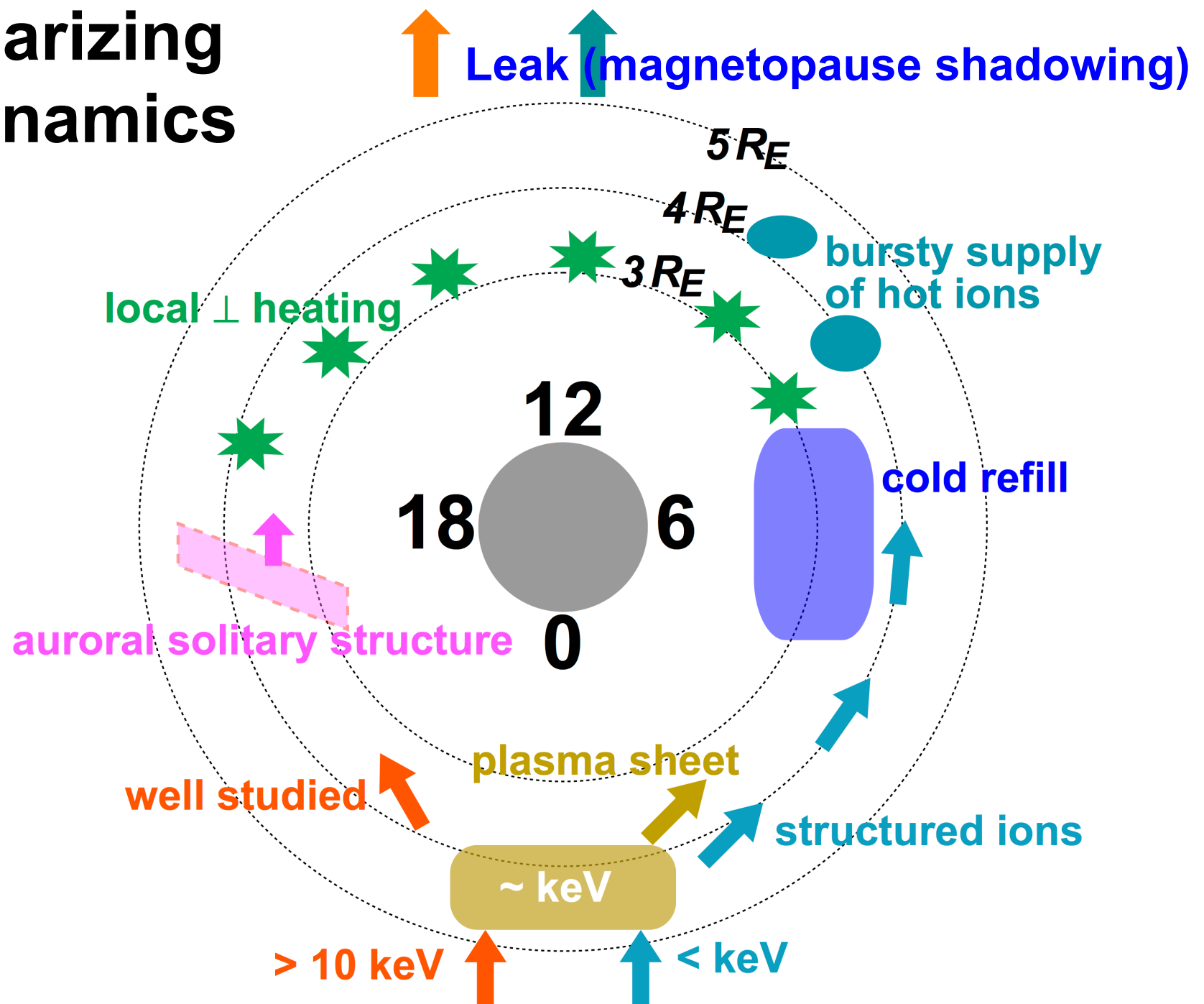


Confined to equator with $\tau \sim 1$ hour

**Why variable $E_{\text{He}}/E_{\text{H}}$ ($=1\sim4$)?
(I still do not have answer)**

(Yamauchi et al., 2012)

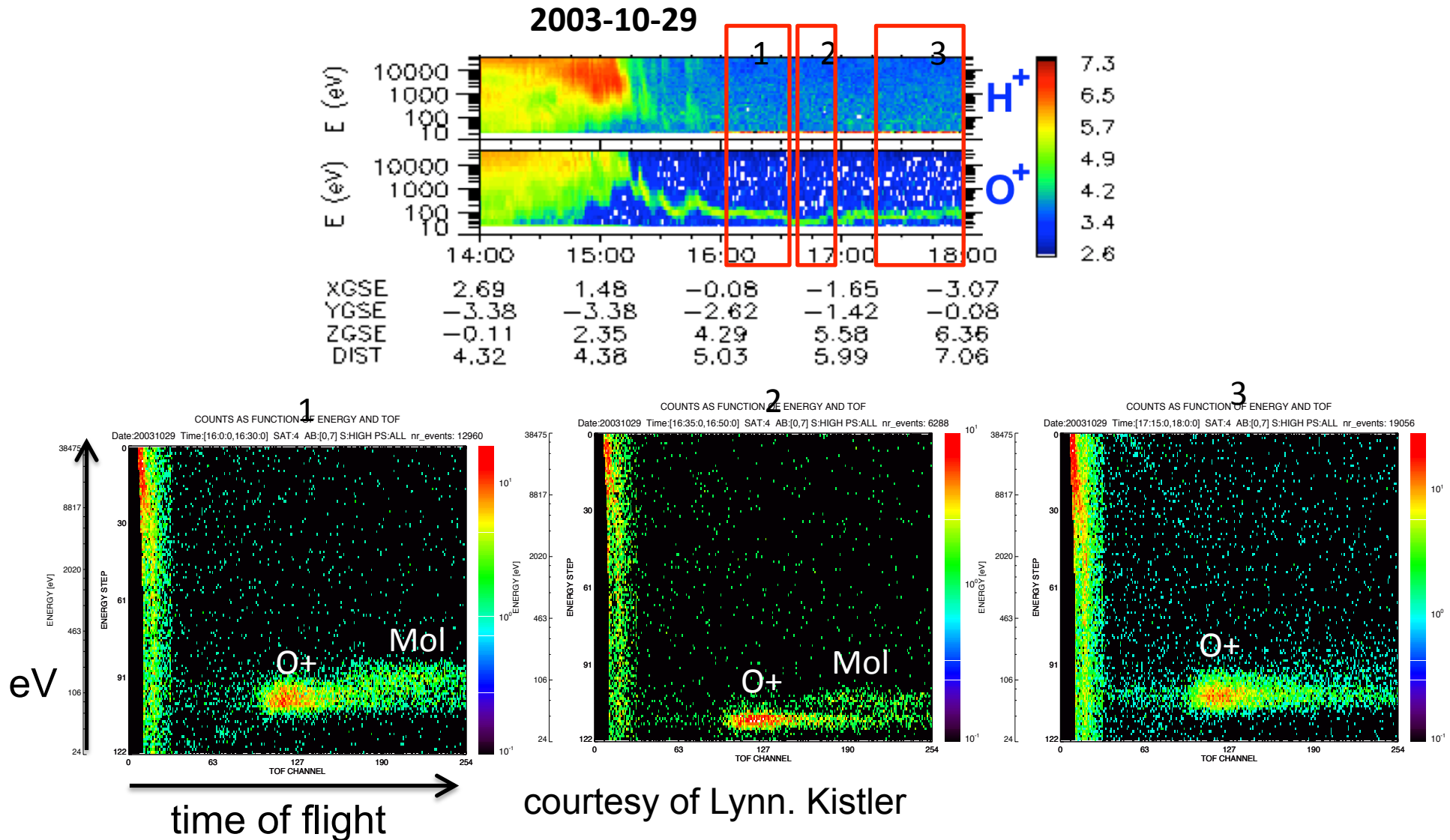
Summarizing ion dynamics



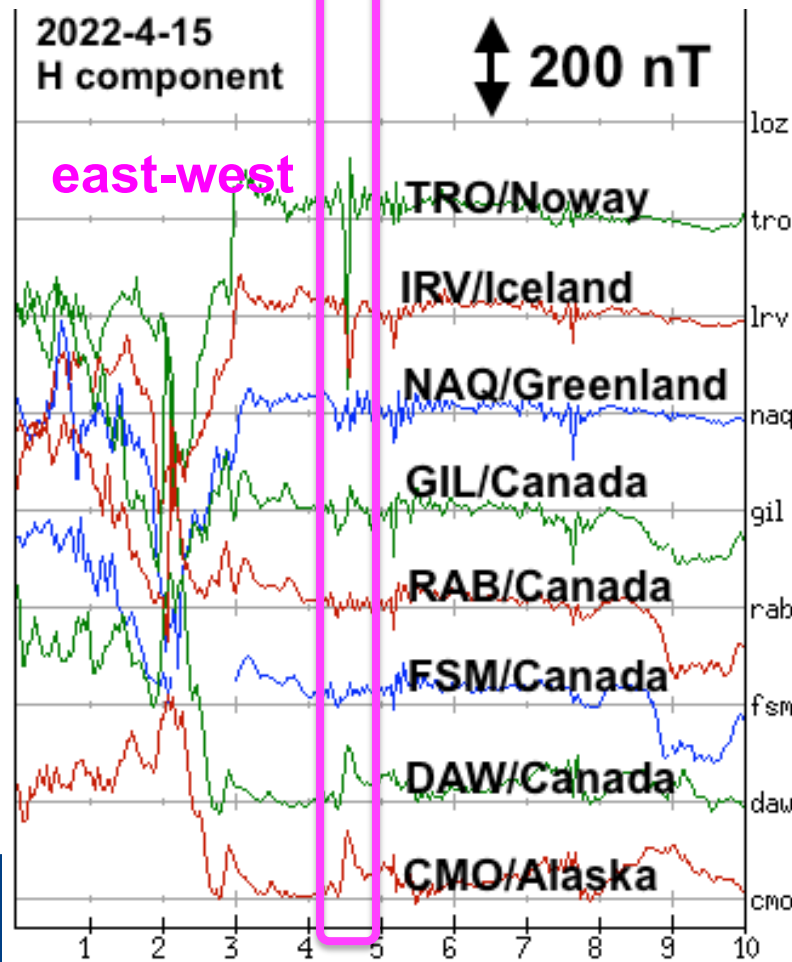
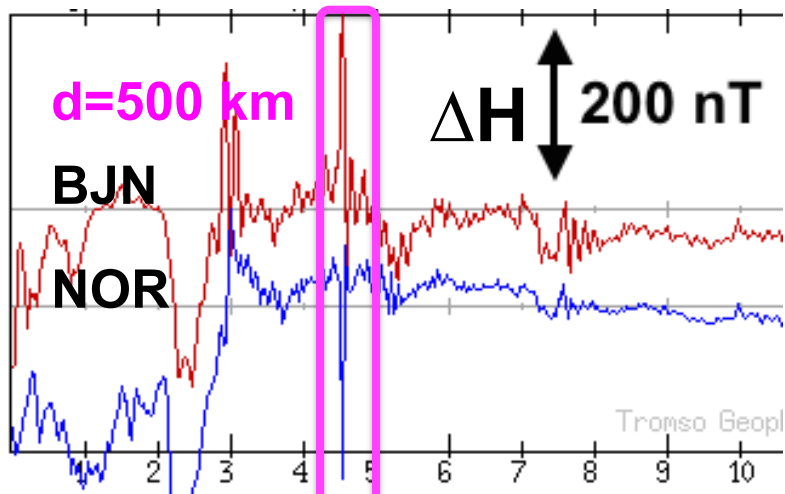
Summery

- (1) **Hot O⁺ escape** ($10^{25-26} \text{ s}^{-1}$) to the solar wind **in the polar region**
 - Sufficient amount to explain the cusp current system
 - Strong dependence on Kp/Solar wind
 - ⇒ We expect $>10^{27} \text{ s}^{-1}$ in early Earth
- (2) Nearly half of **O⁺** in the magnetosphere also escapes ($10^{25-26} \text{ s}^{-1}$)
 - ⇒ together with (1), may affect evolution of life
- (3) Returning (+directly entering) ions **form "zoo" of ion distribution**
 - We now understand sources/routes of all components
 - But some mystery (particularly mass-dependency) remains
- (4) Cluster even observed molecular ions (O₂⁺ or NO⁺ or N₂⁺)

Molecular ions detected by CIS



⇒ ISSI activity now (topic that requires future mission)



DSCOVR@L1

$V_{sw}=480 \text{ km/s}$
 $B_z=+11 \text{ nT}$

04:00 UT: 27.5/cm³
 04:01 UT: 29.1/cm³
04:02 UT: 31.3/cm³
 04:03 UT: 27.8/cm³
 04:04 UT: 26.6/cm³

SOHO@L1

$V_{sw}=530 \text{ km/s}$

03:52 UT: 17.5/cm³
 03:57 UT: 17.7/cm³
04:02 UT: 18.6/cm³
 04:07 UT: 14.7/cm³

ACE@L1

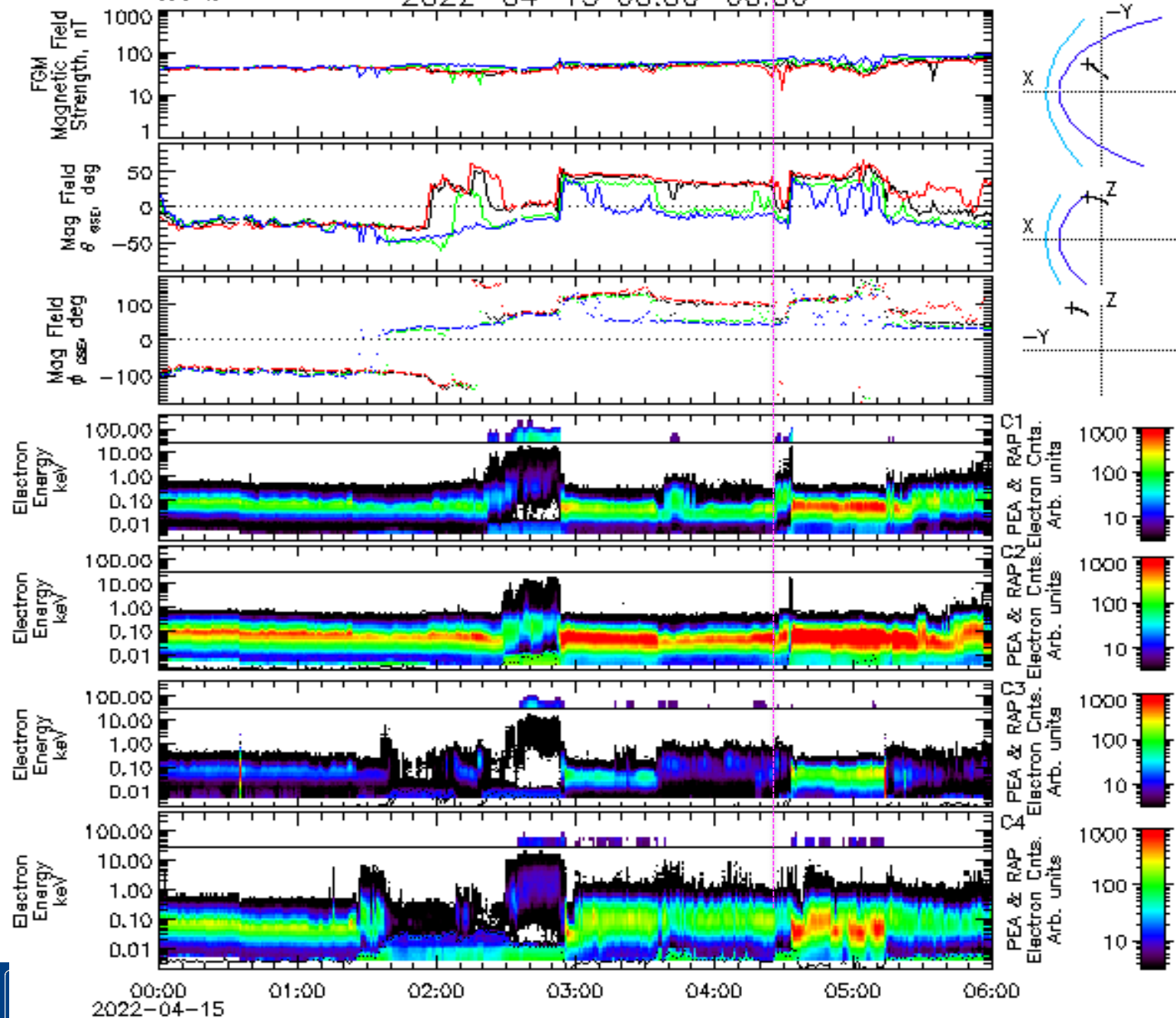
$V_{sw}=500 \text{ km/s}$
 $B_z=+12\sim 14 \text{ nT}$

3:45 UT: 0.9/cm³
 3:50 UT: 0.7/cm³
3:55 UT: 1.4/cm³
 4:00 UT: 0.8/cm³
 4:05 UT: 0.7/cm³

High-latitude: Sudden commencement level big
Isolated spike in dawn over > 80° longitude

⇒ no similar event in past

Low-latitude: Sudden commencement-like
compression (wide dayside)



MAG

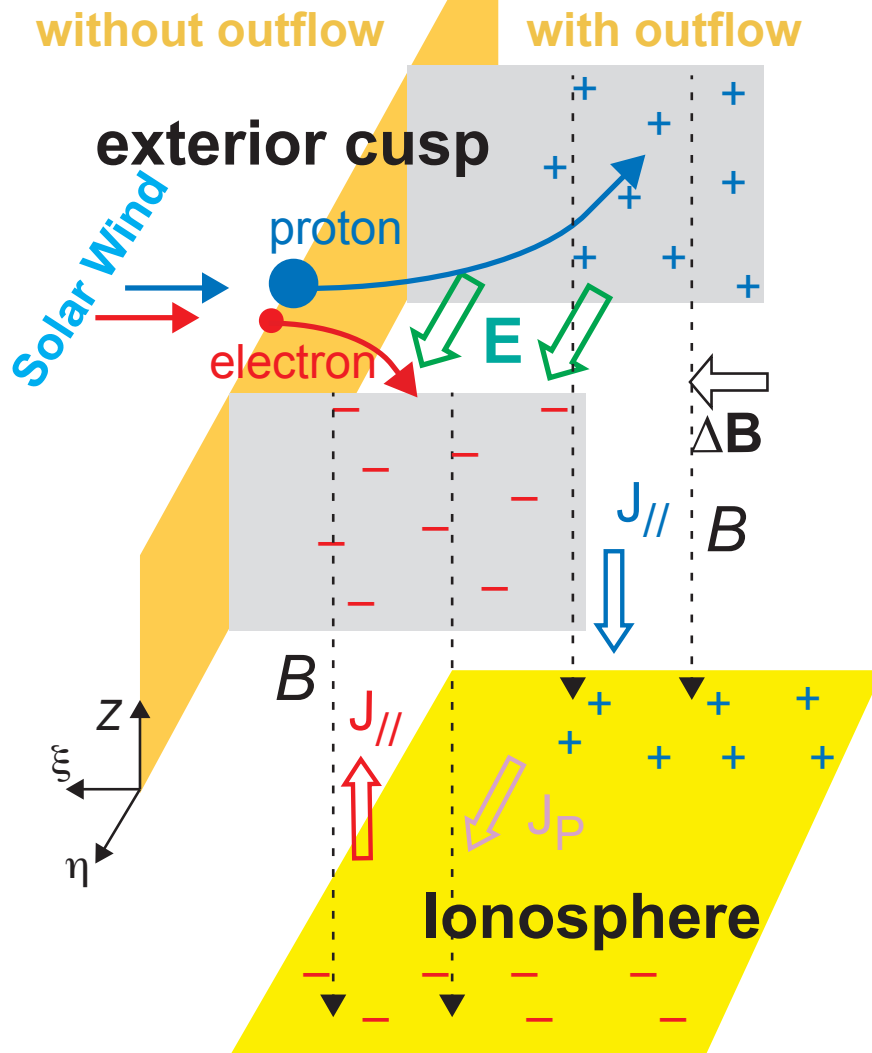
Bz dip @
SC-2 then
SC-4

electron

Extra slides: Budget

Consequence of localized Mass Loading

MHD dynamo during deceleration



$$\Delta K \approx (-1/4) \cdot u_{sw}^2 \cdot \text{loaded-mass-flux}$$

$$\approx 10^9-10^{10} \text{ W for } O^+ \text{ flux} \approx 10^{25-26}/s$$

⇒ Explains **cusp current** system
(both **amount + independency**)

⇒ **Two type of "open"**:

- looking from the Earth (Dungy type), and
- looking from the solar wind (Vasyliunas type)

Energy by Mass Loading

If final $V_{O^+} \approx V_{H^+}$, ΔK is independent of ionospheric conductivity:

$$\Delta K \approx (-1/4) \cdot u_{SW}^2 \cdot F_{load}$$

(where F is O^+ mixing rate to the solar wind) (Yamauchi and Slapak, 2018)

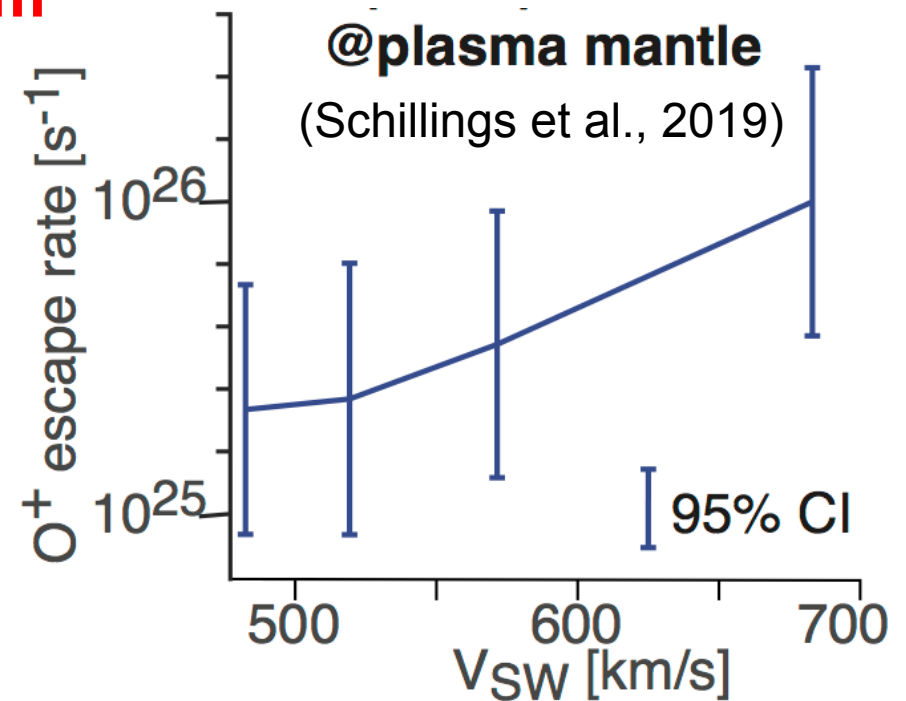
(1) $\Delta K \approx 10^9\text{-}10^W$ for $F_{load} \approx m_O \cdot 10^{25-26} \text{ s}^{-1}$

⇒ **Can explain cusp current system**
(amount + independency)

(2) We expect $F_{load} \propto \Delta K$
(through ionospheric heating)

⇒ Large u_{SW} increases O^+ escape? ⇒
YES

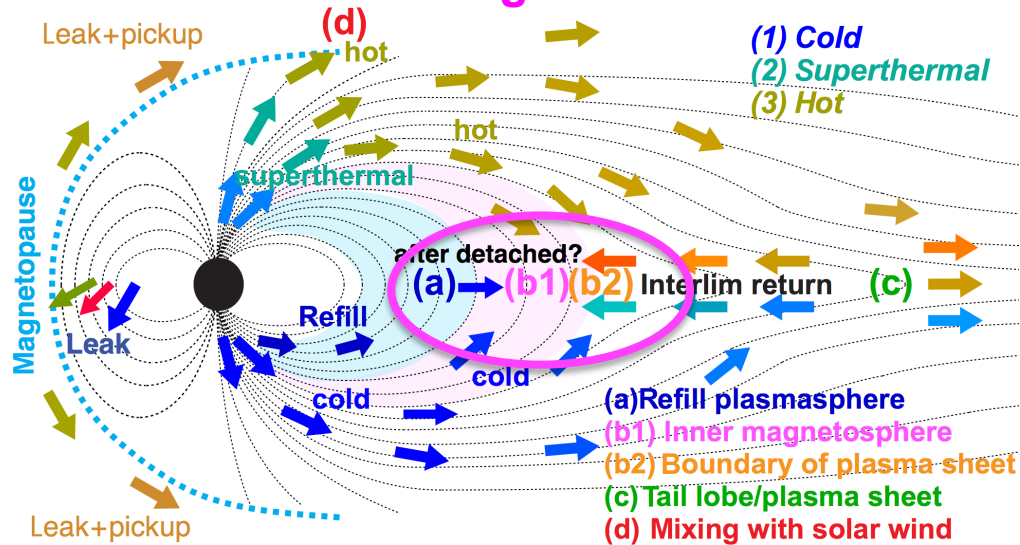
(3) O^+ outflow influence the SW injection?
⇒ **Various types of injection?**
(not all are understood)



(2b) Fate of ions: inner magnetosphere

Zoo of different route: **return O^+** + **direct entry O^+** + **cold O^+ refill**

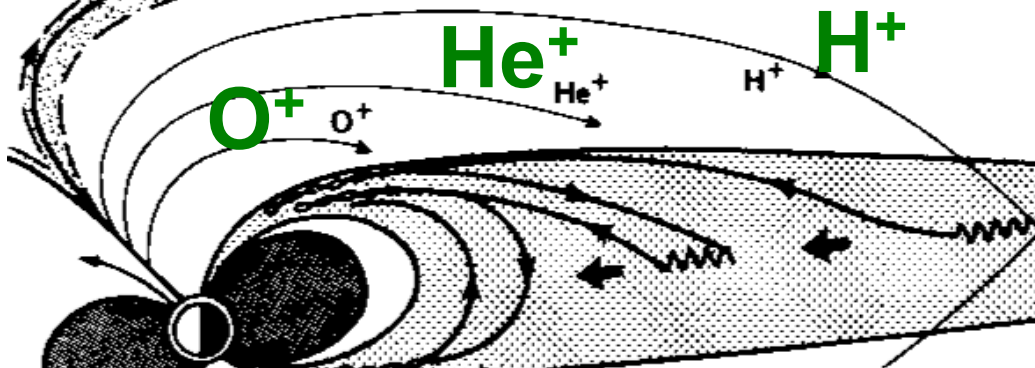
Returned O^+ from magnetotail



- (i) Magnetopause shadowing (100% escape)
- (ii) Charge exchange (50% escape)
- (iii) Pitch-angle scattering to loss-cone (return)

direct supply of **suprathermal O^+** (ii + iii only)

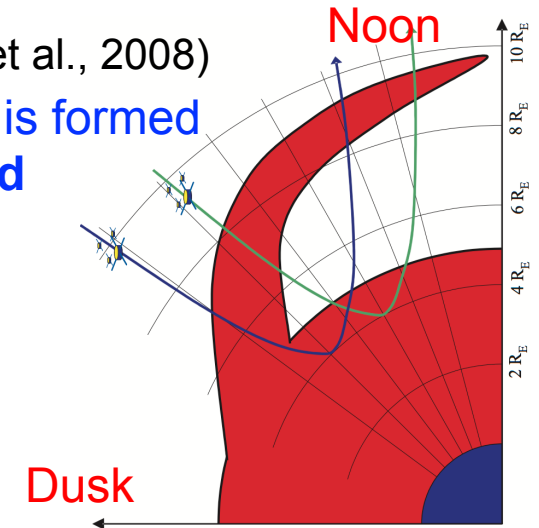
(Chappel et al. 1987)



Cold O^+ refill

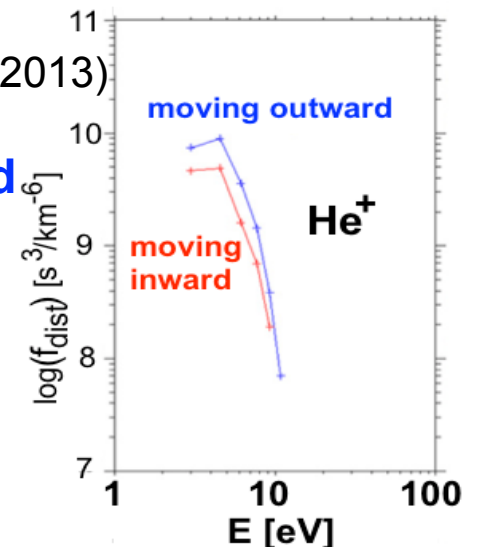
(Darrouzet et al., 2008)

(iv) **plume is formed & detached**



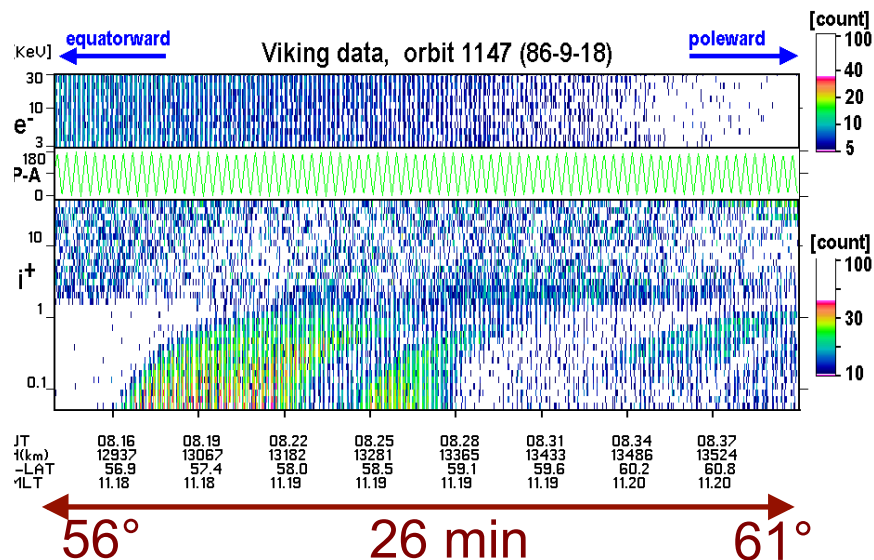
(Dandouras et al., 2013)

(v) **outward wind**
(≈ 1.4 km/s)



Decay

Viking observations



After high AE activities.

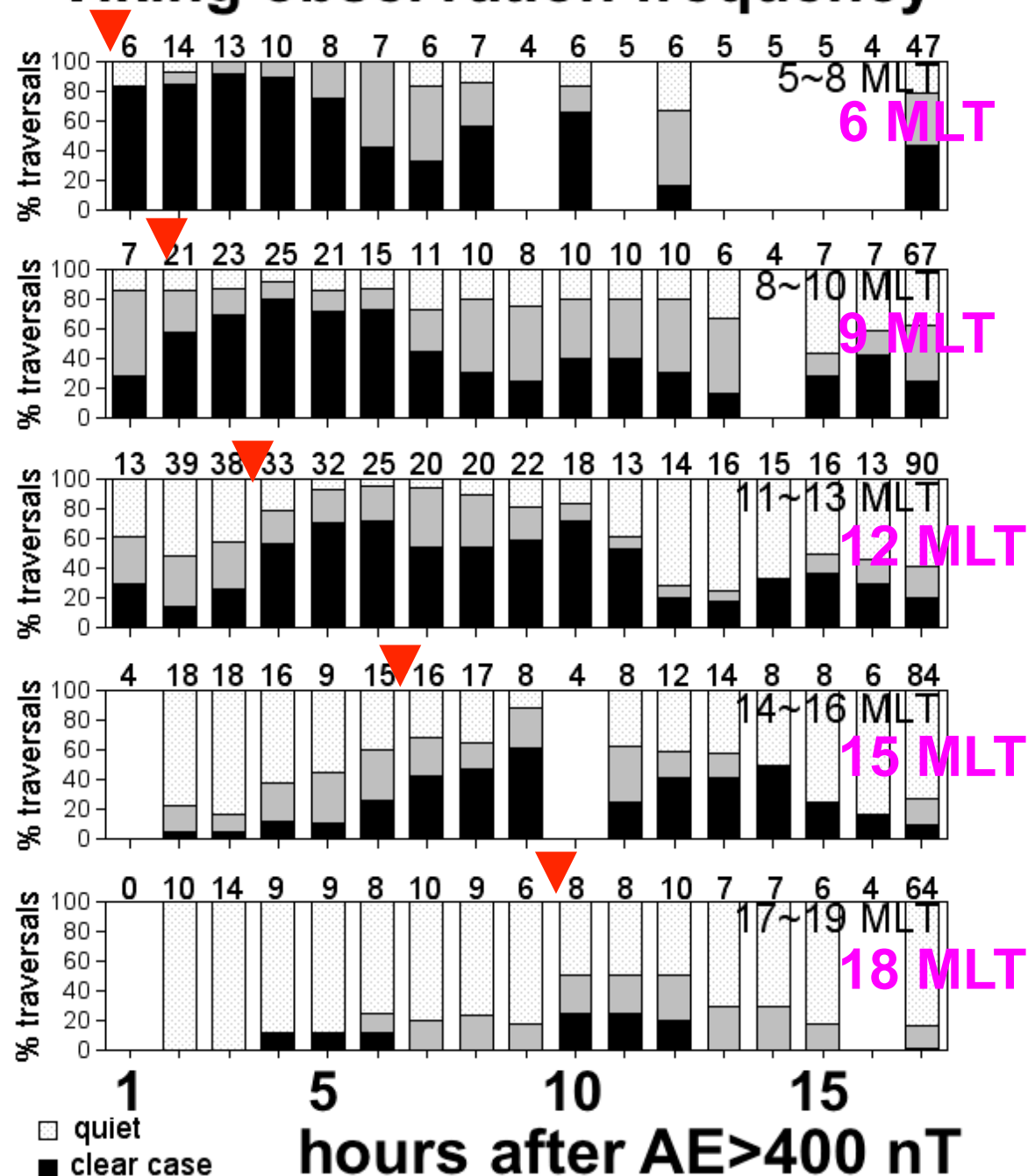
(1) Moves eastward **very fast**

⇒ **fossil of substorm filling**

(2) **Quick decrease for 12-18 MLT**

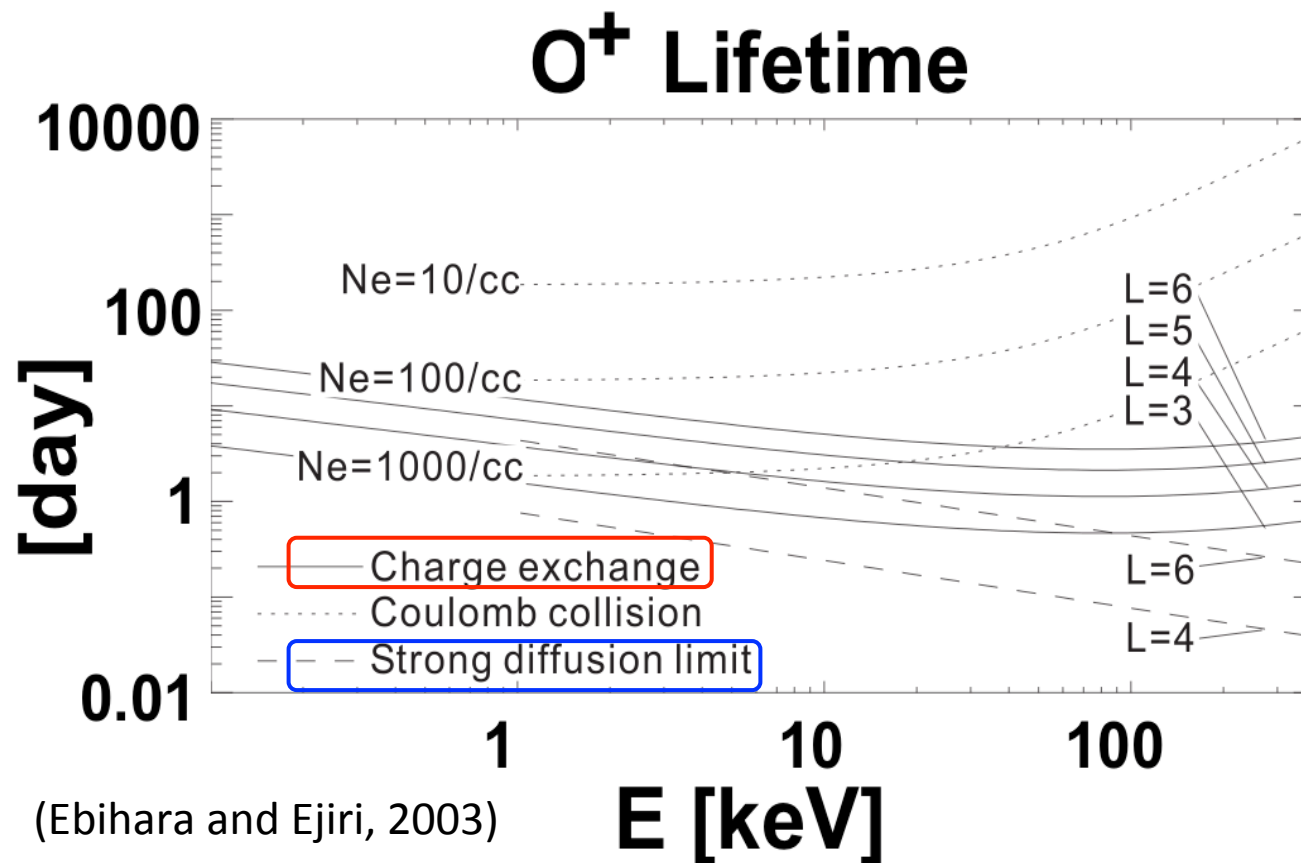
(Yamauchi and Lundin, 2006)

Viking observation frequency



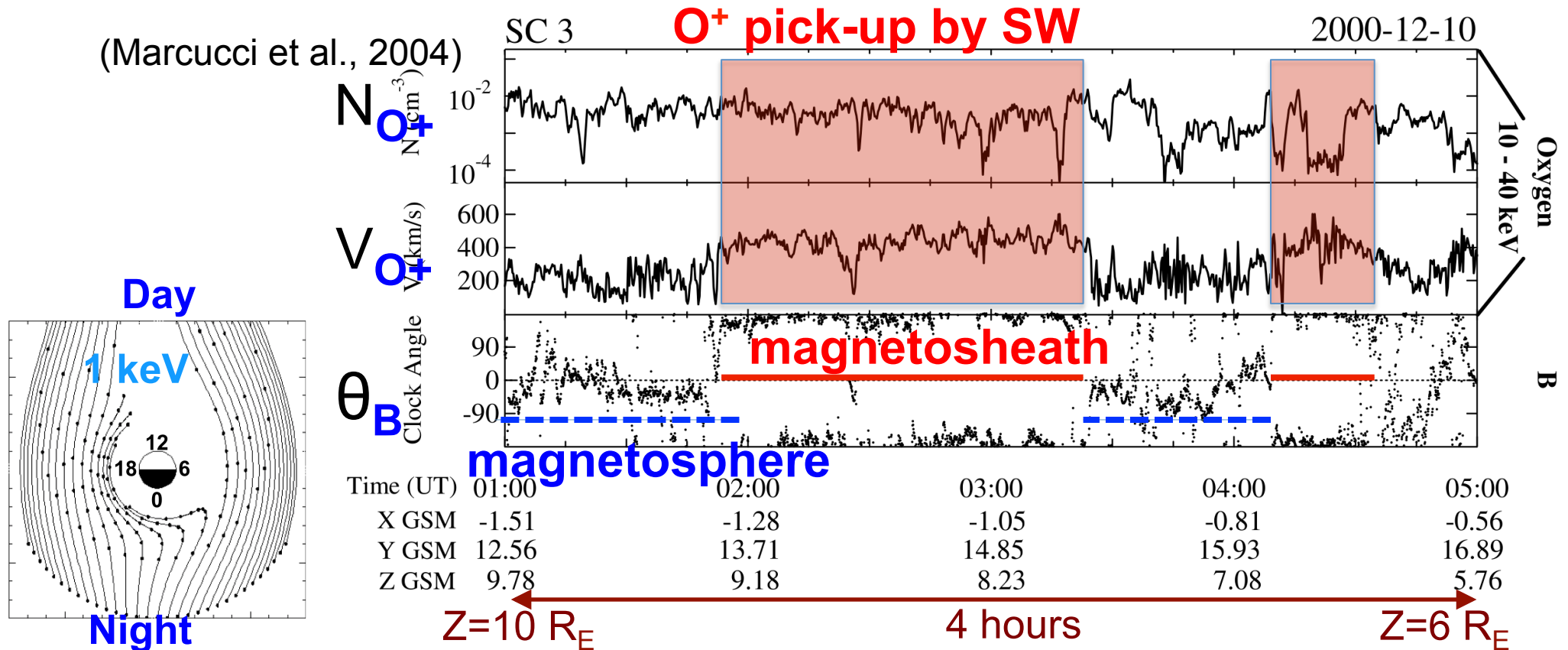
Why decay? (1) loss to the ionosphere

- (i) $O^+ < 1$ keV: mainly **Charge exchange** during mirroring (high n_n)
 $\Rightarrow$ **half will be lost**
- (ii) $O^+ > 1$ keV: mainly **Strong pitch-angle diffusion** to loss cone
 $\Rightarrow$ **real return**



Simulation for all O^+
 $\Rightarrow$ **half will be lost**
(except leak)

Why decay? (2) Magnetopause shadowing



ExB drift **overshoots** magnetopause $\Rightarrow$ **magnetopause (MP) shadowing**

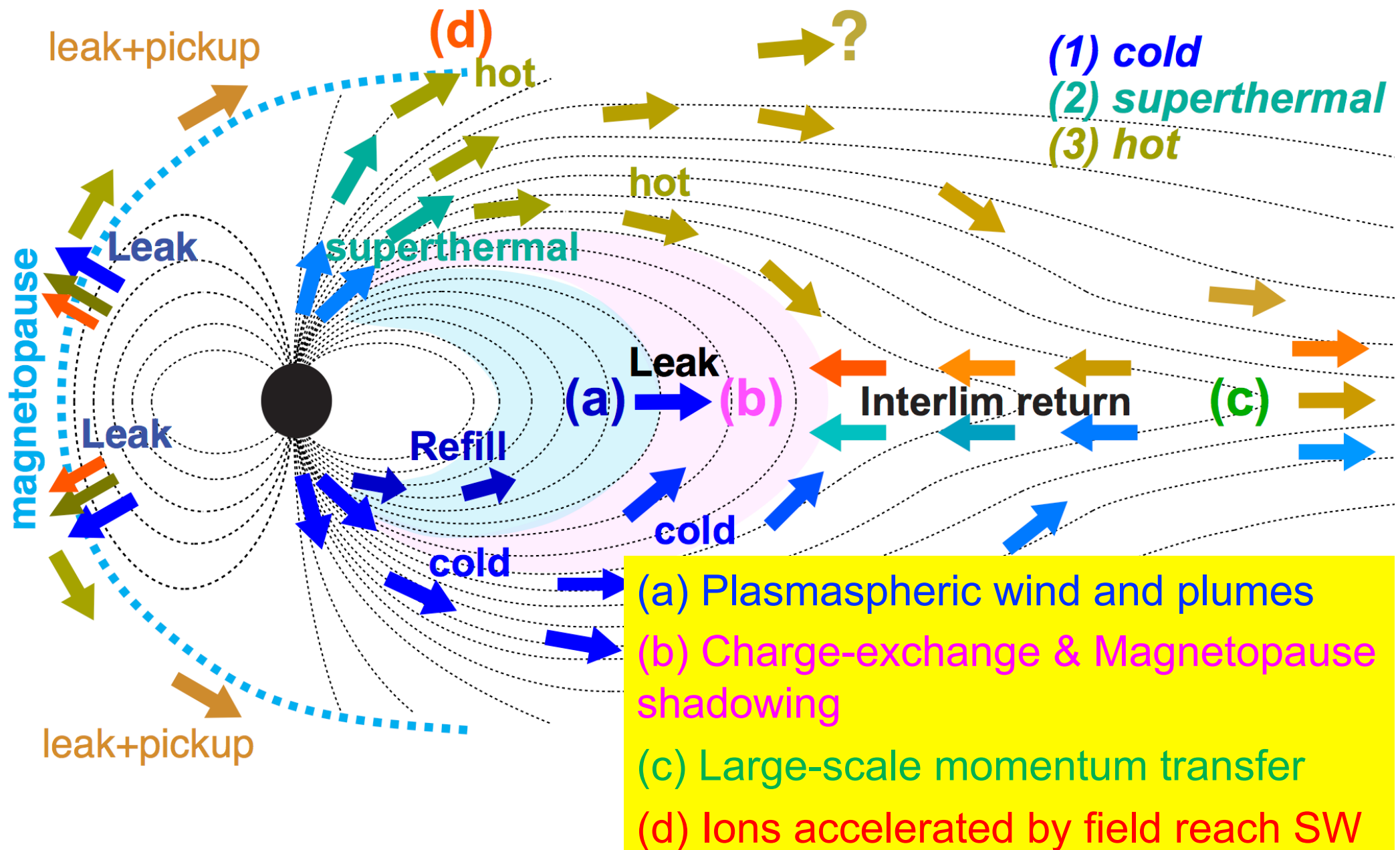
$\approx 1/3$ of input O^+ during storm

(Zong et al., 2001)

$\Rightarrow$ works for all drifting ions (**cold + hot + energetic**)

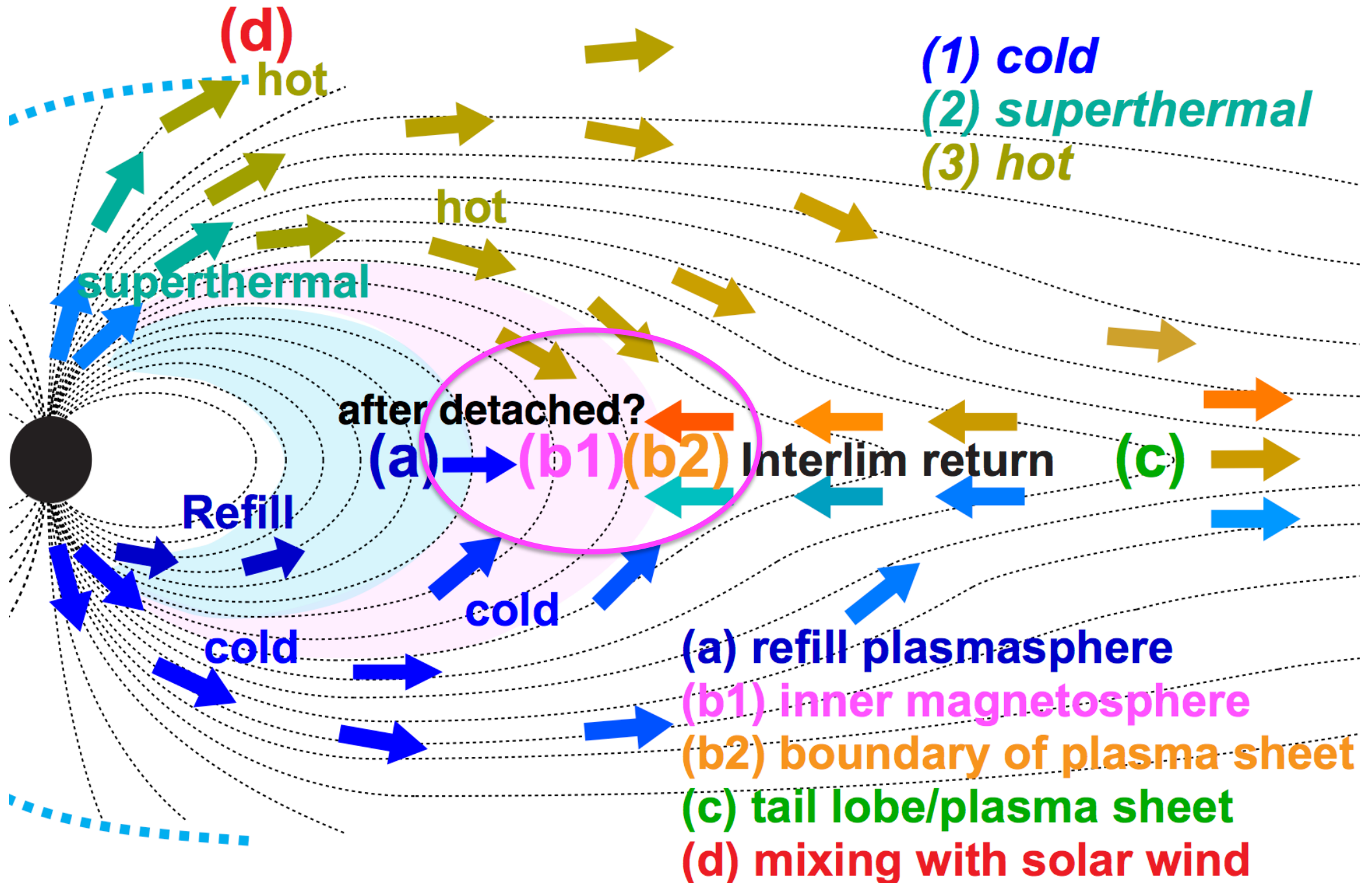
note: Largest **at mid-latitude** rather than equator (because of bouncing motion)

Main escape mechanisms for **present Earth**

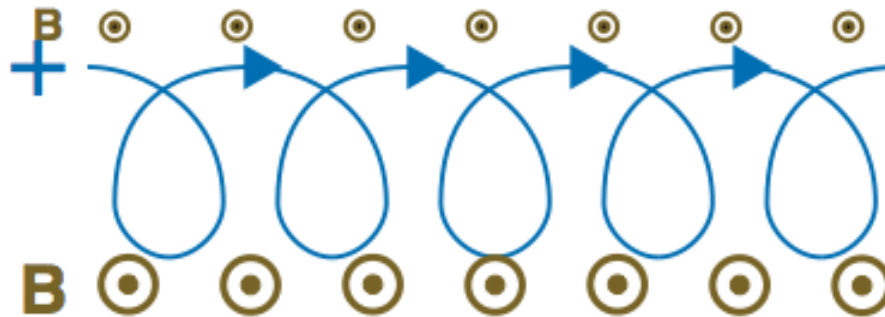


Extra slides: Zoo

Fate of returned flow



Ion drift under strong B-field



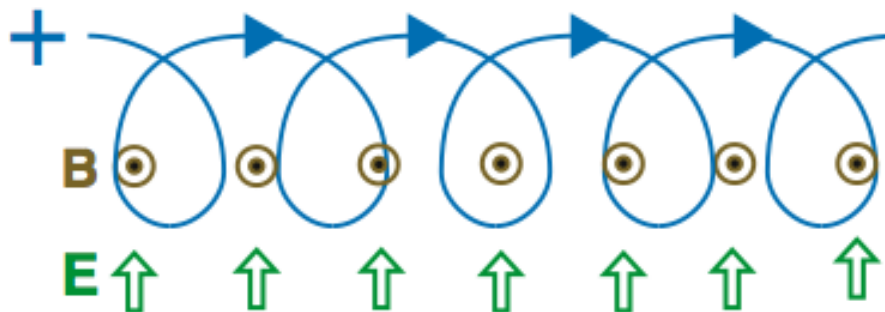
Magnetic drift (energy-dependent)

* gradient-B & curvature drift

⇒ dominant for > 10 keV

Both drifts are **mass-independent**
for given energy

⇒ H^+ , He^+ , & O^+ should drift
together

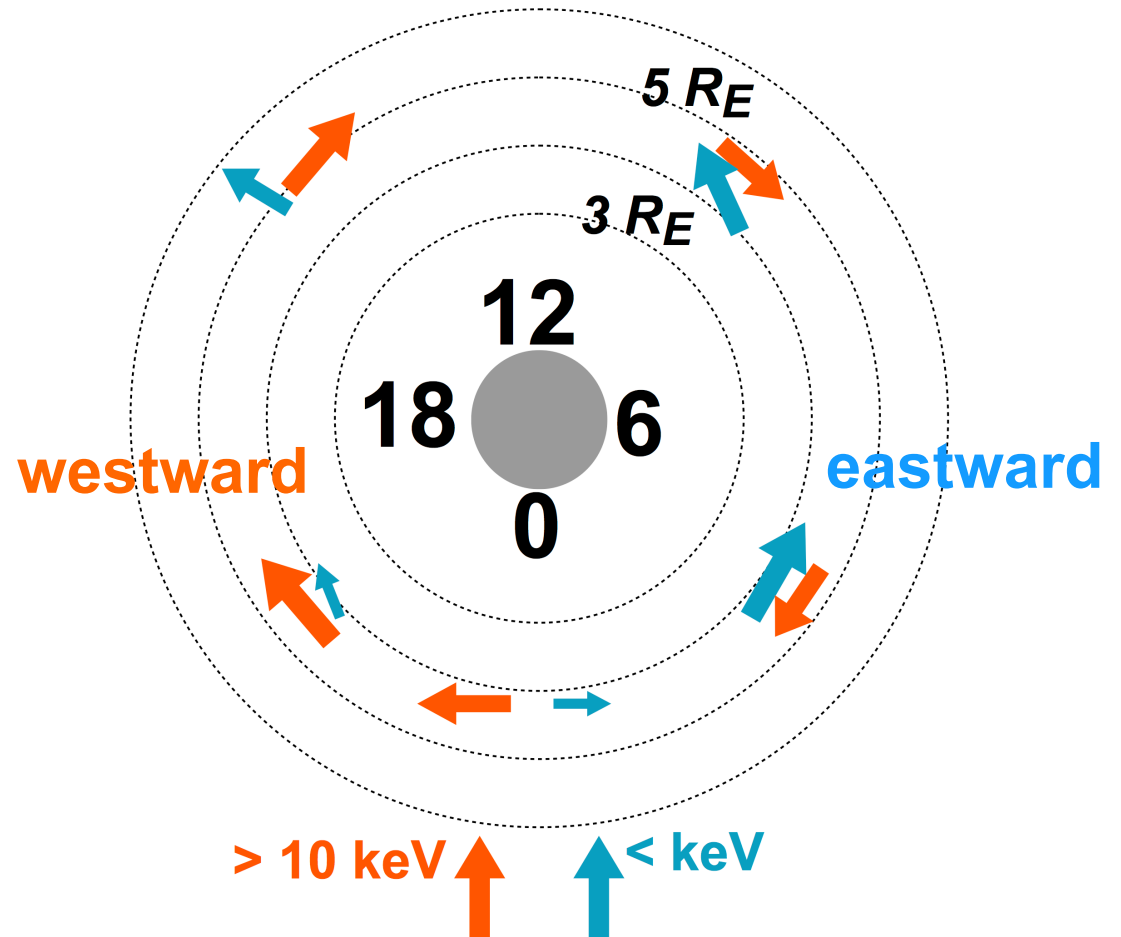
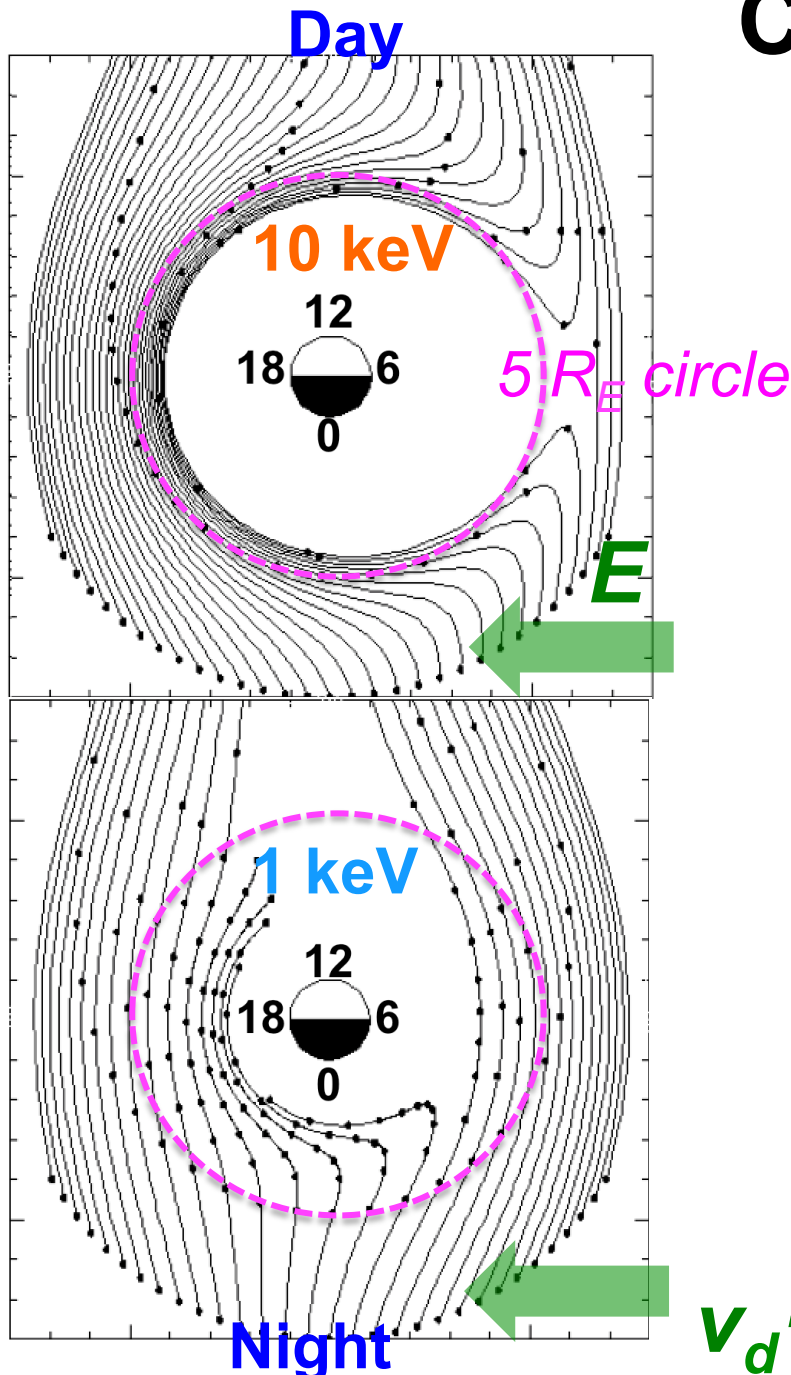


ExB drift (energy-independent)

* co-rotation & external E-field

⇒ dominant for < 0.1 keV

Combining different drifts



For all of H^+ , He^+ , and O^+
But, this is **just a model**

$$v_d \cdot E > 0 \Rightarrow \text{adiabatic energization}$$

Three basic populations



accelerated plasma
sheet (westward drift)

plasma sheet
(eastward ExB drift)

superthermal (<50 eV)
intermittent supply

Simulated ion distribution at Cluster location, 2004-12-22

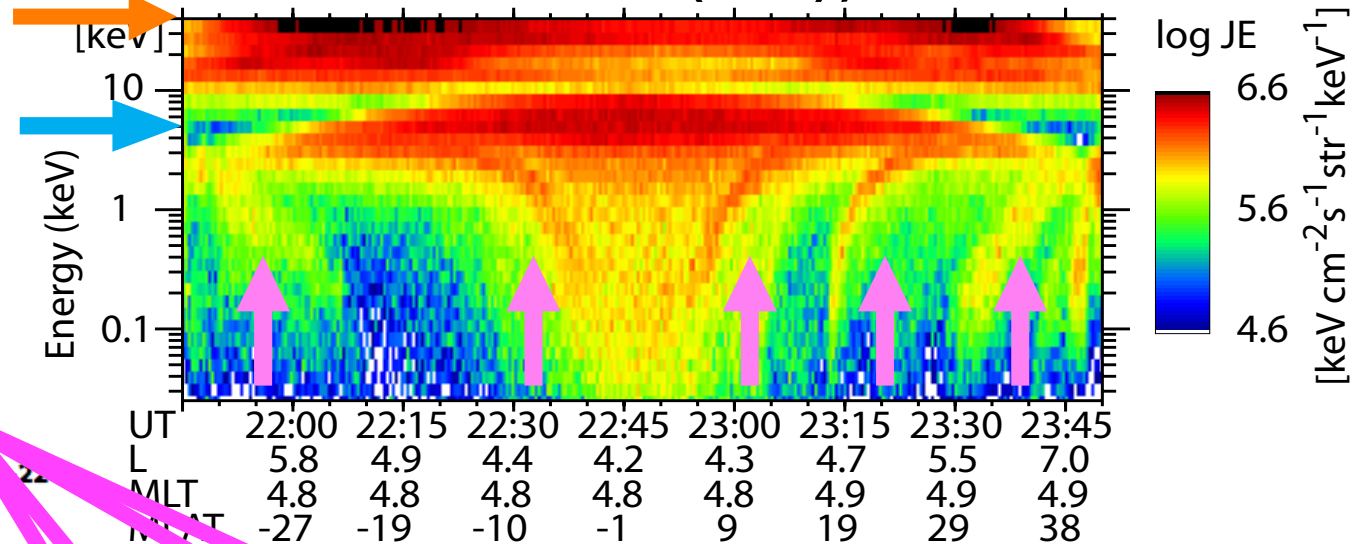


Simulation

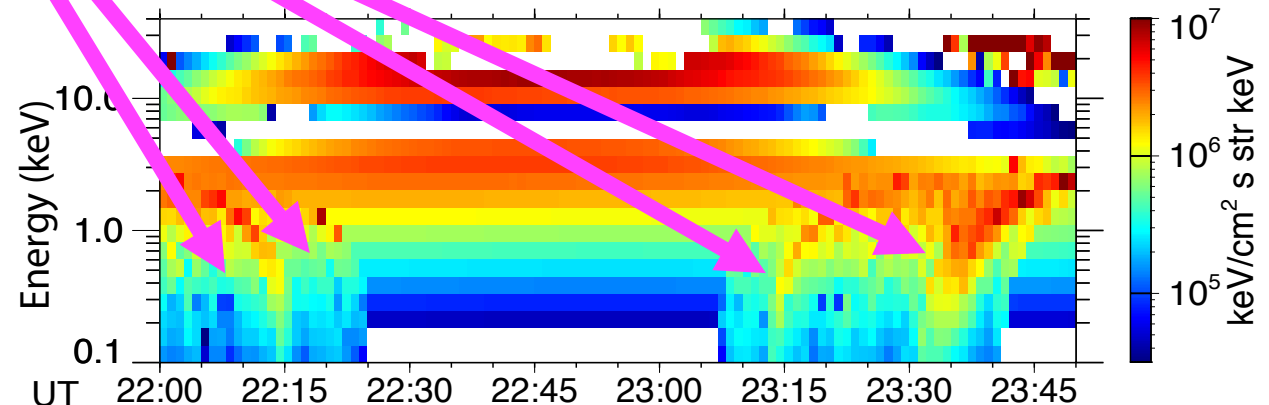
(Yamauchi et al., 2009)

5 MLT

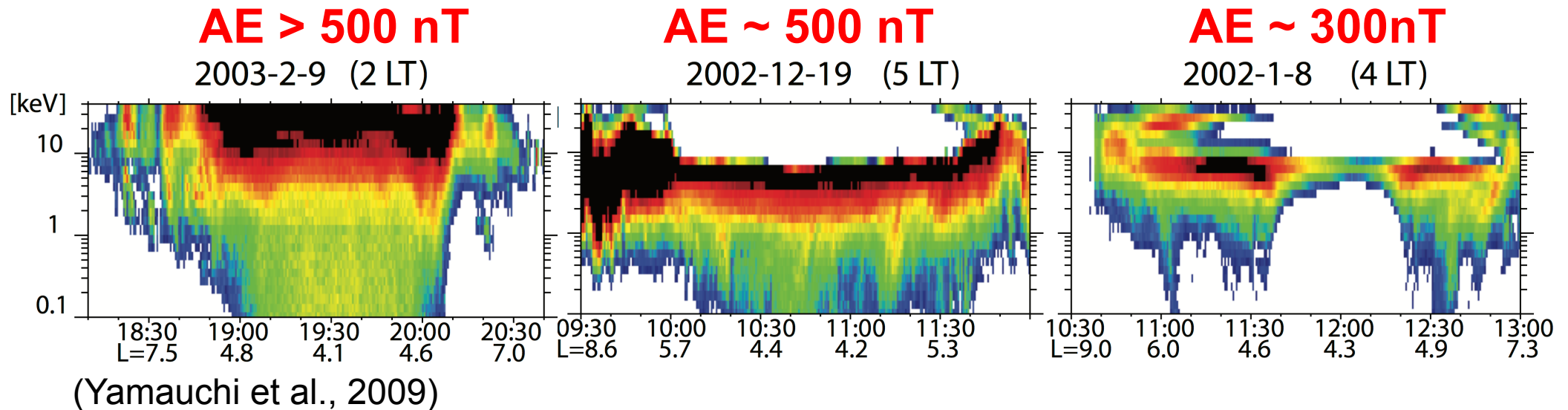
CIS/CODIF H⁺ data (SC-4), 2004-12-22



Simulated H⁺ at Cluster location



Morphology changes with **E-field**

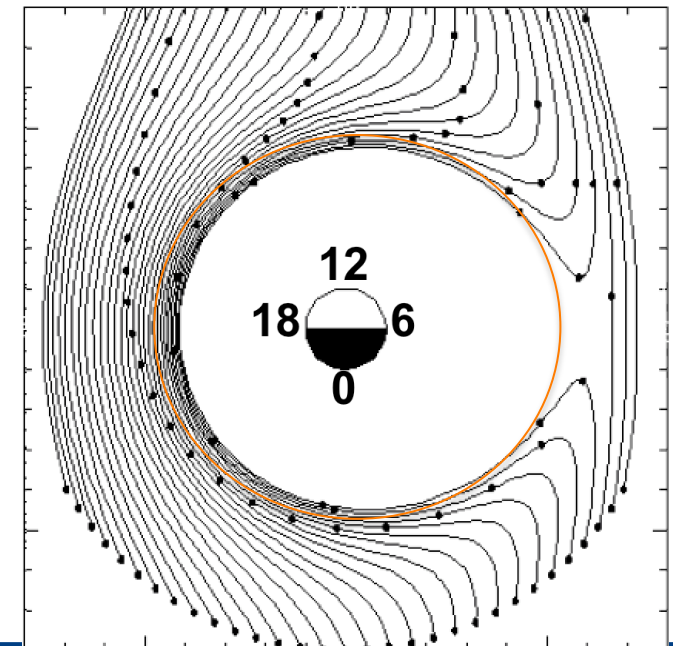


Demarcation energy in dawn ($\sim 5 R_E$):

> 40 keV for strong substorm

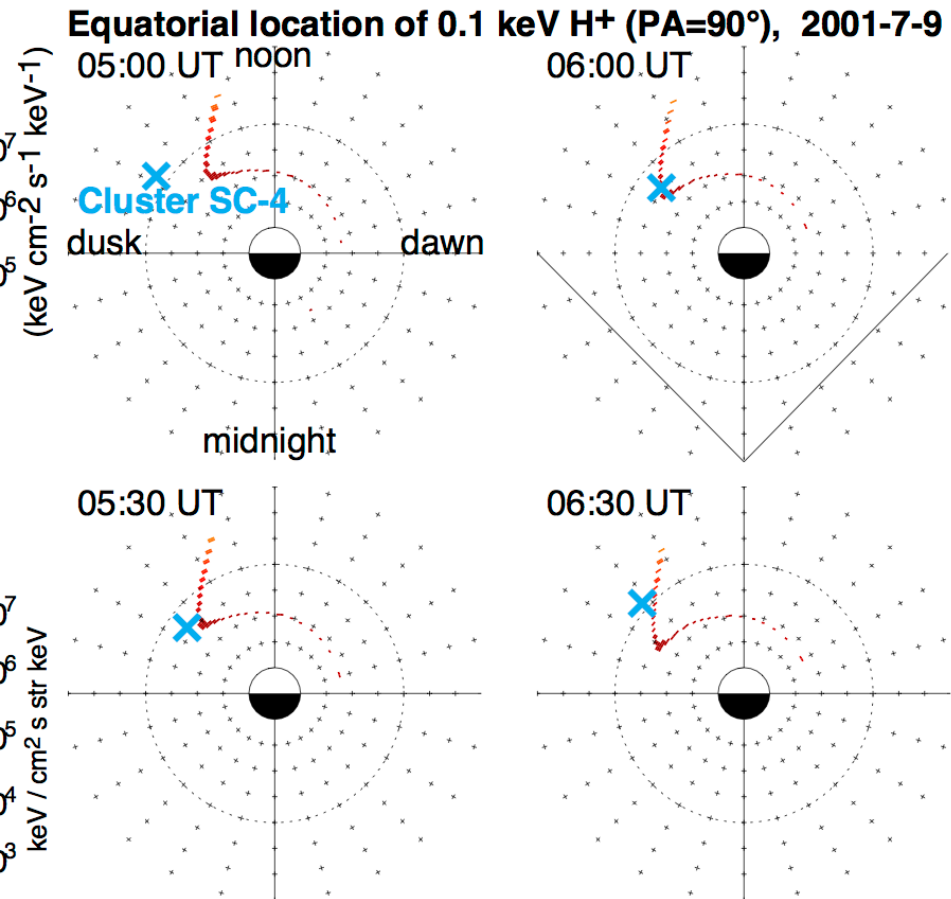
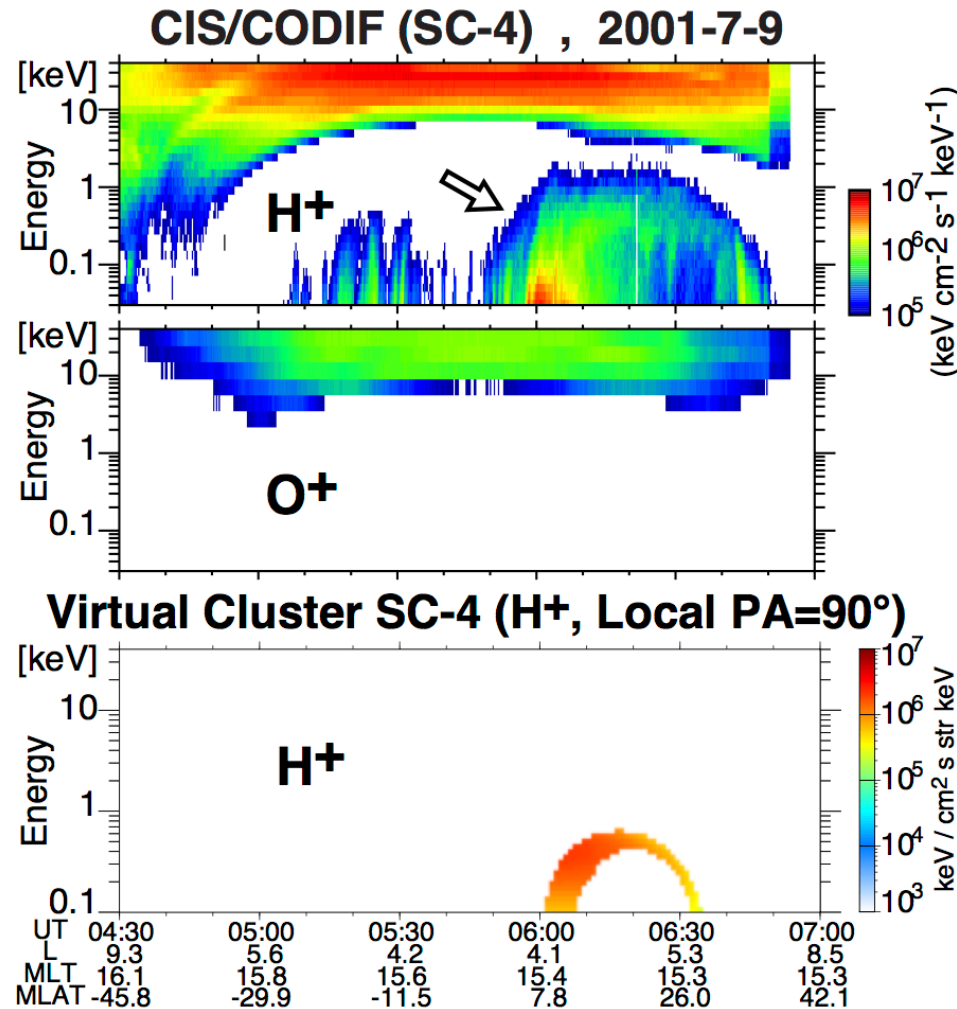
⇒ Dawn **E-field** is **stronger** than expected

⇒ Local screw of **E-field**
(later simulation confirmed)



Can ExB drift really explain?

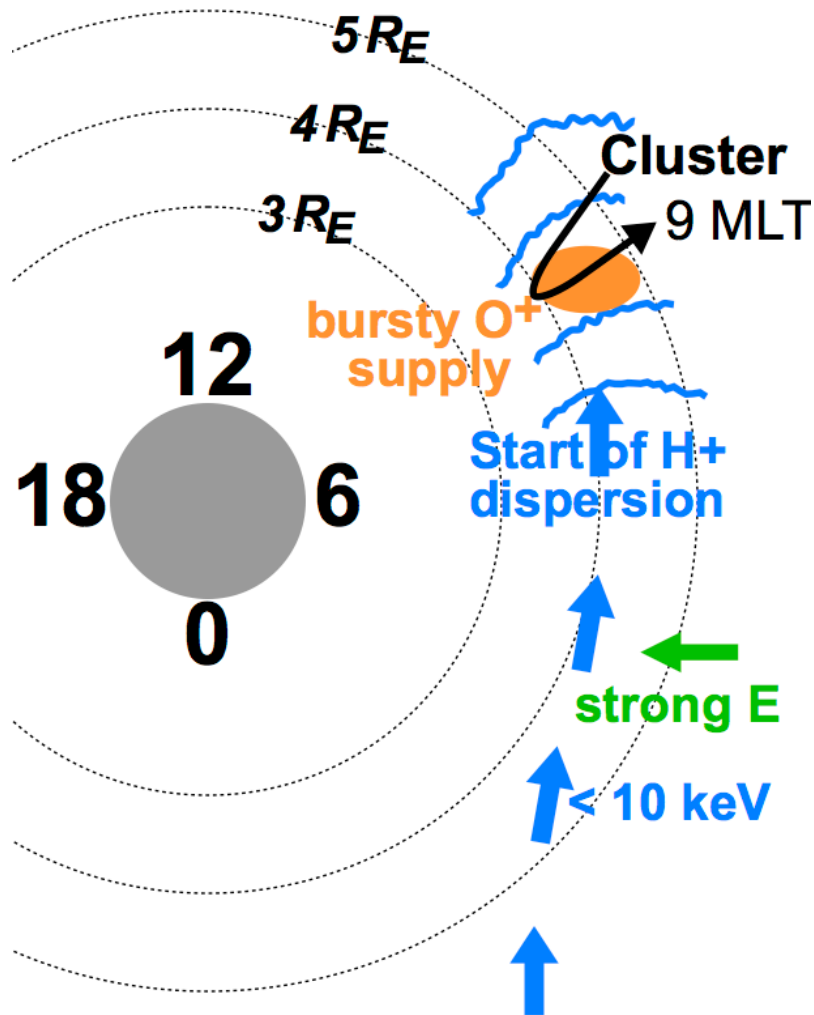
15 MLT



(Yamauchi et al., 2014)

- (1) **Afternoon sector** $\Rightarrow$ Yes
- (2) **Sudden appearance** in 2 hours $\Rightarrow$ Yes

Derived ion motion



H⁺ timing analyses

⇒ **H⁺ dispersion** started **6~7 MLT**
at ~ 23:10 UT

O⁺ signature

⇒ 20-30 min from northern ionosphere
along B

⇒ **O⁺ outflow** started **near 9 MLT**
at ~23:20 UT

Both agree with substorm onset
at 23:10 UT

(Yamauchi et al., 2006)

Extra:

Evolution of the Earth in geological scale

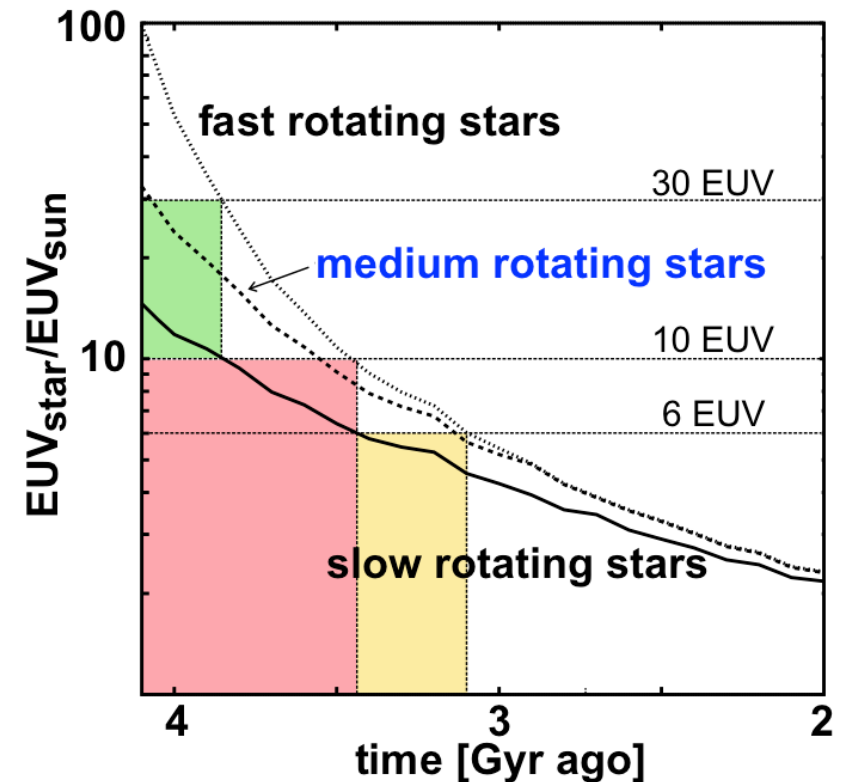
(then cannot ignore **neutral**)

Scaling to the past : high EUV + P_{sw}

Ancient solar forcing (young M-stars)

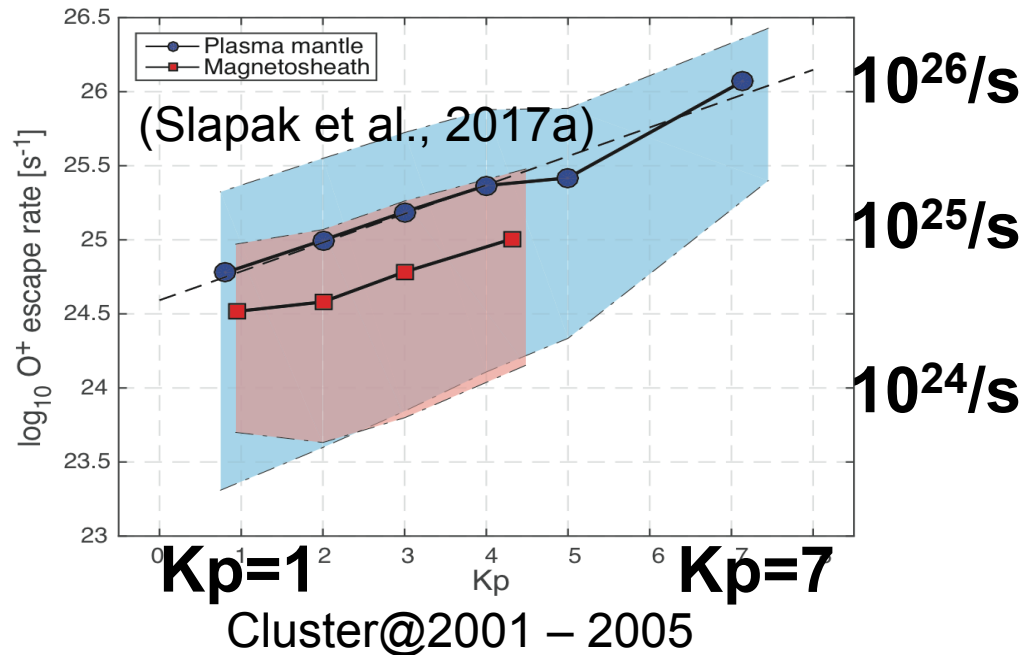
- (a) **much higher EUV flux** than present
 - (b) **faster solar wind** than present
 - (c) **much faster rotation** than present
 - ⇒ stronger solar dynamo
 - ⇒ **stronger flare / CME / SEP**
(Solar Energetic Particle)
- (e.g., Wood, 2006)

⇒ We scale **Kp=10** or use **extreme events** as proxy of the past



(Tu et al. 2015, Lammer et al., 2018)

Cluster Statistics of **strong SW & EUV** in the past



For **direct escape only** (O^+ mixing into the solar wind), we expect **10^{27} s^{-1} for $K_p=10$**

(We examined also with coupling function, Shillings et al., 2019)

$\Rightarrow 10^{27} \text{ s}^{-1} \times 1 \text{ Gyr } (3 \cdot 10^{16} \text{ sec}) = 3 \cdot 10^{43}$
 $= \text{70\% of present atmospheric O}_2$
(15% of N_2)

$\Rightarrow$ **cannot ignore**

A few % change in O/N ratio does affect bacteria activity (e.g., Loesche, 1969)

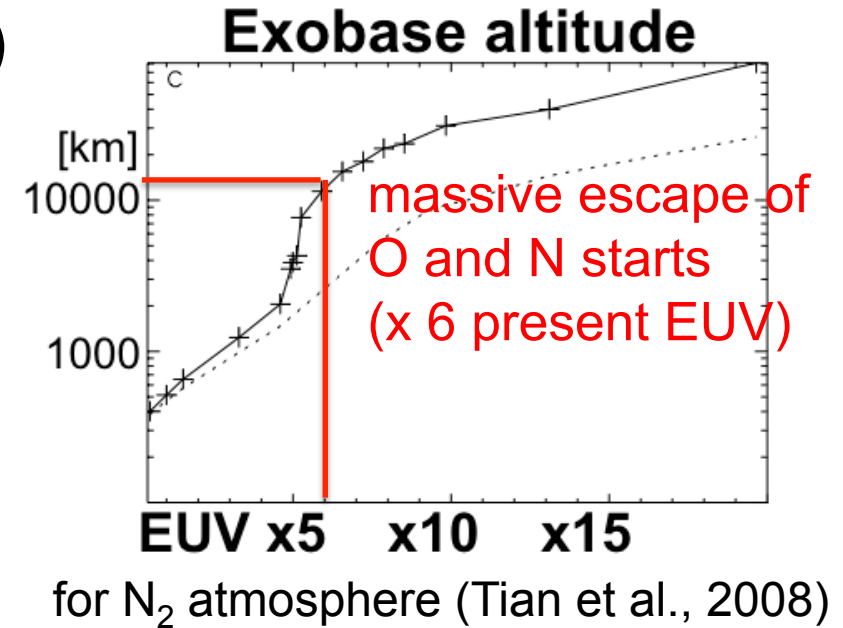
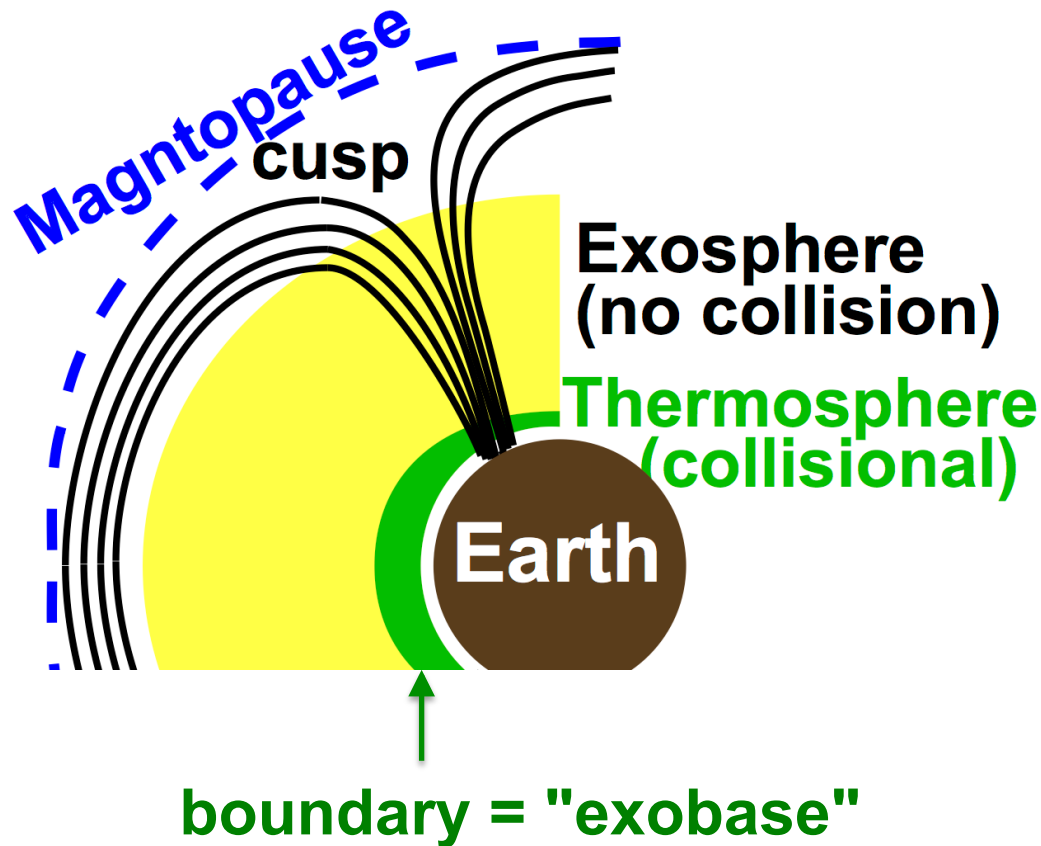
Past escape $\Rightarrow$ must know **exobase**

Ancient solar forcing (young M-stars)

(a) **much higher EUV flux** than present

$\Rightarrow$ thermosphere **expands**

$\Rightarrow$ **neutral escape** becomes large



height	500 km	2000 km	10000 km
velocity	10.8 km/s	9.8 km/s	7.0 km/s
O	9.7 eV	8.0 eV	4.1 eV
N	8.5 eV	7.0 eV	3.6 eV

before	after	extra energy
$O_2^+ + e^- \Rightarrow$	2O	1–7 eV
$N_2^+ + e^- \Rightarrow$	2N	3–6 eV

Past atmospheric composition fluctuated

Observed O/N ratio fluctuate in 0.1 byr : **not easy to explain by bioactivity** $\Rightarrow$ escape matters?

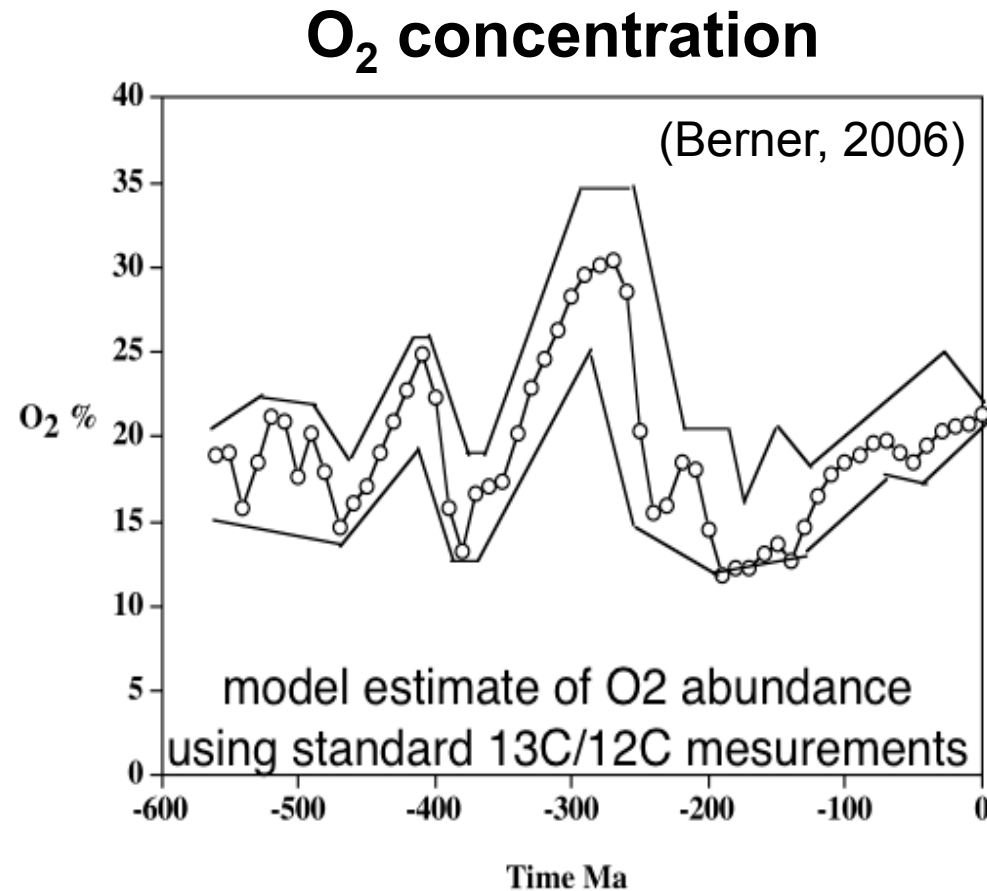
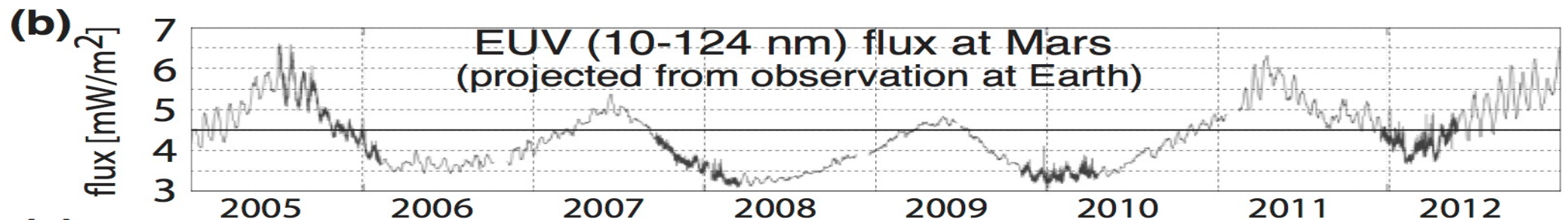
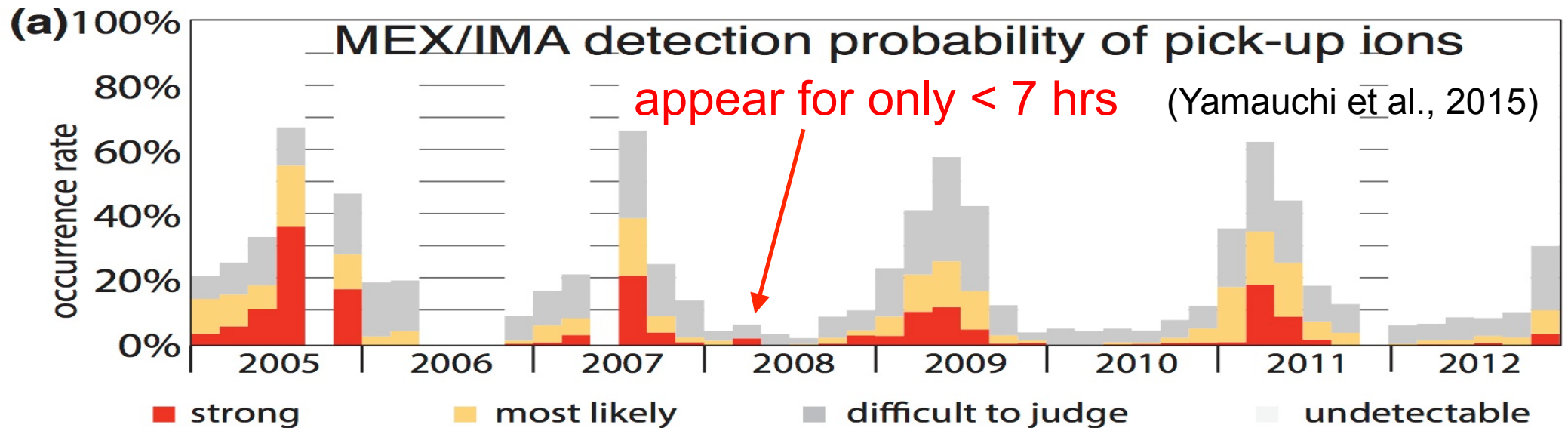
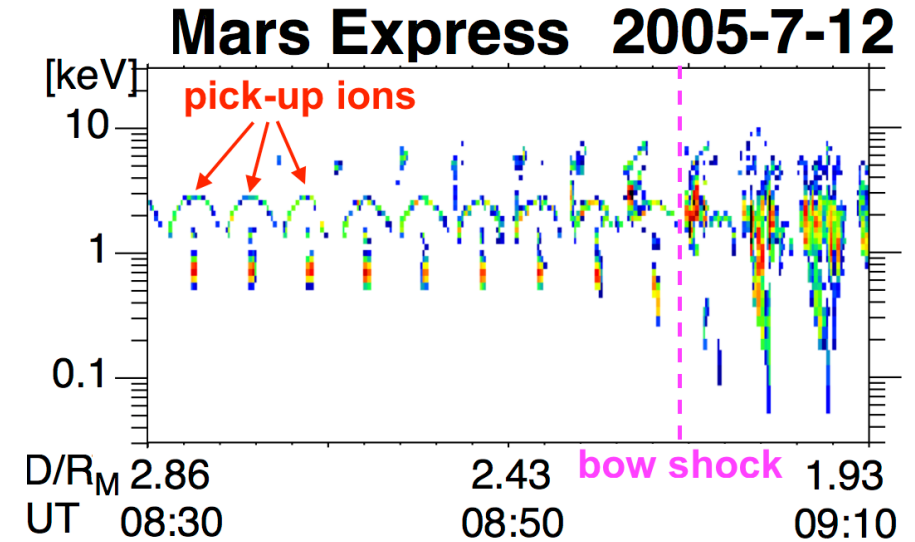


Fig. 20. Plot of O₂ vs time for the standard GEOCARBSULF model with

In fact, exosphere drastically responds to EUV

Newly-formed cold ions (pickup ions) at Mars
⇒ **x 10 increase for <50% increase in EUV**
during 1 Mars year

⇒ expansion of exosphere is much more
than any simple models



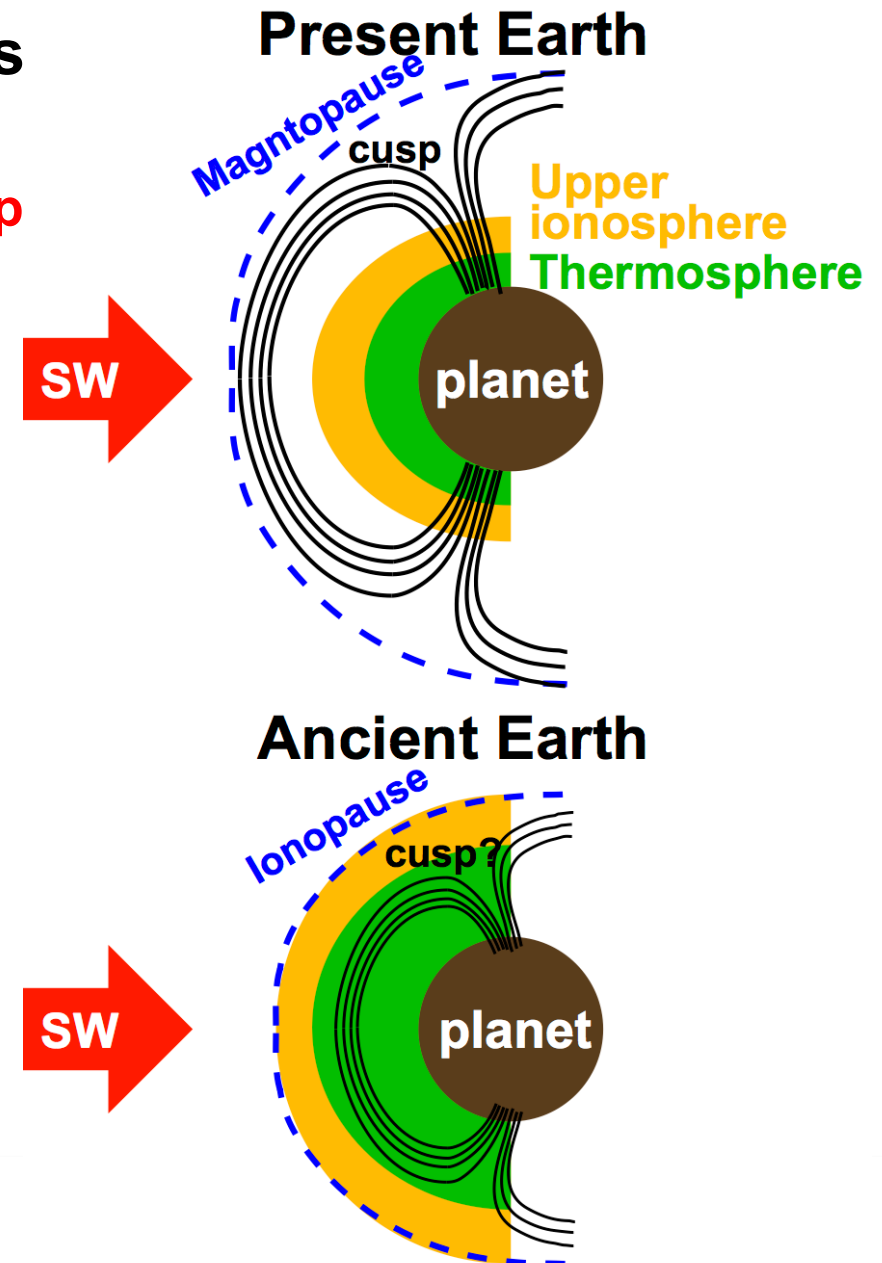
Past escape $\Rightarrow$ must know past ionosphere

Ancient solar forcing (young M-stars

- (a) **much higher EUV flux** than present
 $\Rightarrow$ thermosphere **expands** $\Rightarrow$ **neutral escape**
- (b) **higher P_{sw}** than present
- (c) **much faster rotation** than present
 $\Rightarrow$ stronger solar dynamo
 $\Rightarrow$ **frequent & intense flare/SEP (Solar Energetic Particle)** $\Rightarrow$ **ion escape**

Ancient Earth

- (d) **less geomagnetic field** than present
 $\Rightarrow$ magnetosphere **shrinks**



atmospheric escape from **ancient Earth**

mechanism	present Earth	ancient Earth?
Jeans escape	-	yes? (need to understand present exosphere)
Hydrodynamic blow off	-	yes? (need to understand present exosphere)
Momentum exchange	-	yes? (need to understand present exosphere)
Photochemical energization	-	yes
Charge-exchange	yes	? (need to understand ring current)
Atmospheric sputtering	-	yes? (need to understand past cusp)
Ion pickup	-	yes
Ions accelerated by field reach SW	YES !	yes
Large-scale momentum transfer & instabilities	yes	yes? (need to understand past magnetosphere)
Magnetopause shadowing (ions)	yes	yes? (need to understand past ring current)
Plasmaspheric wind and plumes	yes	yes? (need to understand past plasmasphere)

neutrals

both mixed

ions

Extra slides (molecular)

Sources of "very heavy" ions (molecular + silicate/metallic)

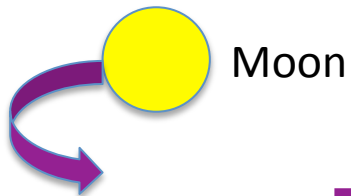
Si^+ , Fe^+
 O^{6+} , Fe^{12+}
 N_2^+ , NO^+ , O_2^+

Solar wind – magnetosphere interaction

- directly from SW

Solar wind – surface interaction

Micrometeorite – surface interaction



- exospheric:
 - sputtered by SW and ionized:
 - reflected + neutralized SW
- and picked up to > 50 keV

Atmospheric evolution
M-I coupling

- from high-latitude

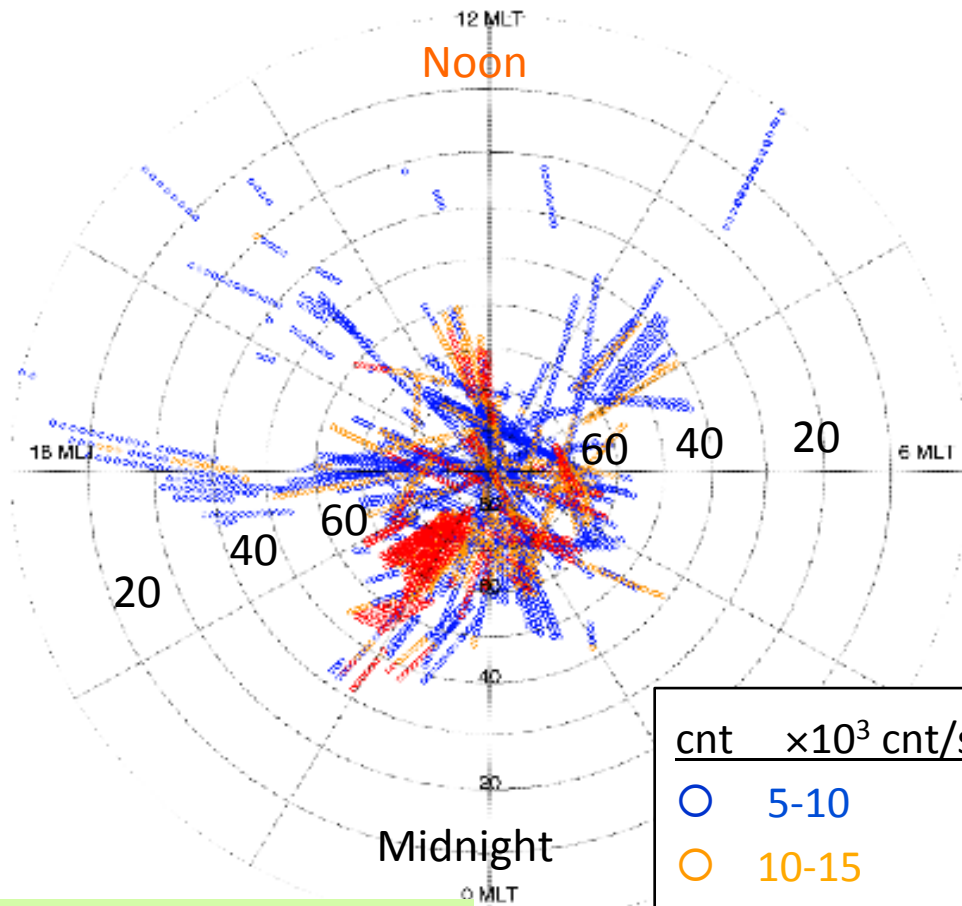
- from sub-auroral
Ionospheric chemistry/physics
M-I coupling

Question is source of low-charge state:

- Moon vs. high-latitude?
- How much from low-latitude?
- metal layer/space debris contribution?

Some molecular ions may reach the magnetosphere

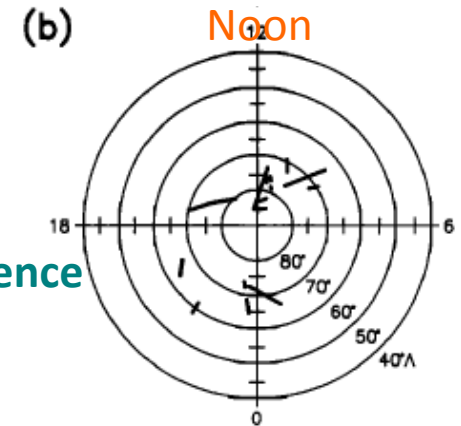
$N_2^+-NO^+-O_2^+$ at 300-1500 km (Swarm-E)



Courtesy: V. Foss, 2019

low-cnt events = extend to low latitudes
high-cnt events = only high latitudes

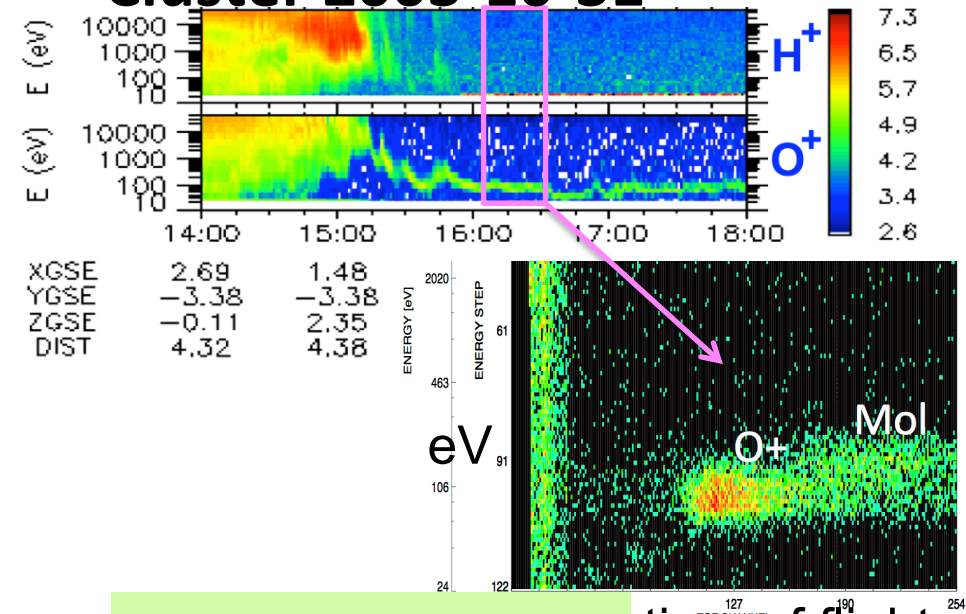
$N_2^+-NO^+-O_2^+$ at
6,000-10,000 km
(Akebono, Yau et al.)



Molecular ion occurrence

Generally only from high latitude

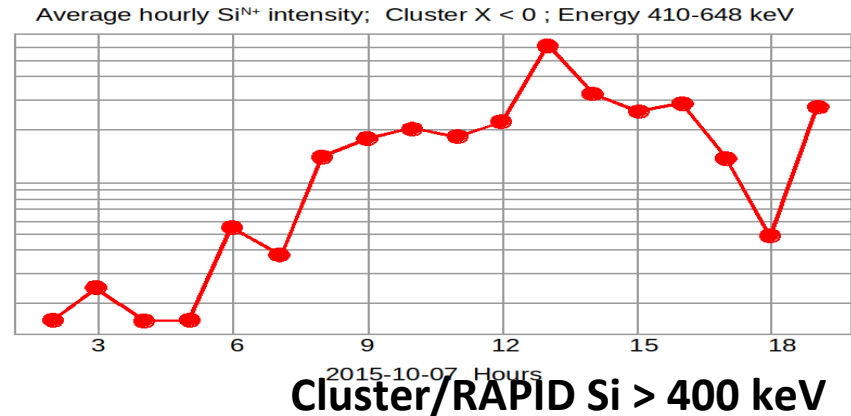
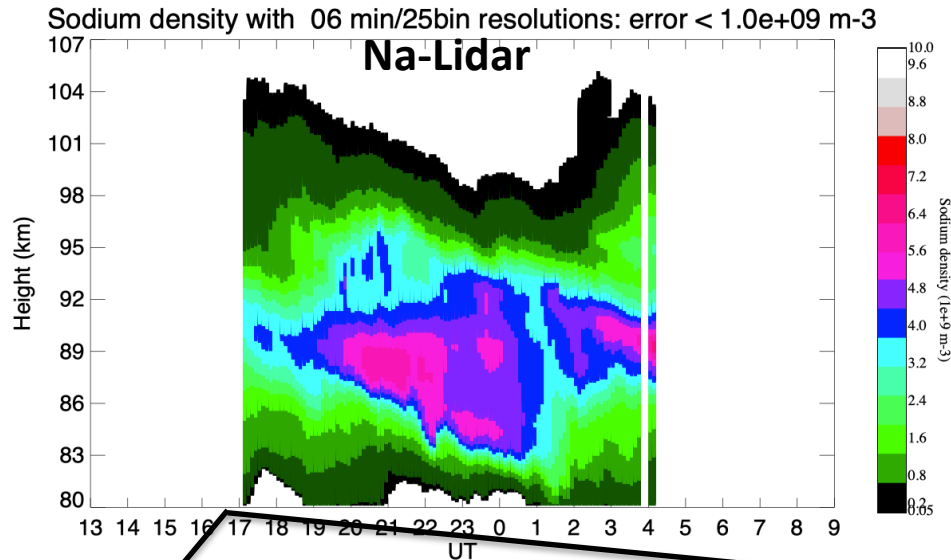
Cluster 2003-10-31



Courtesy: L. Kistler, 2022

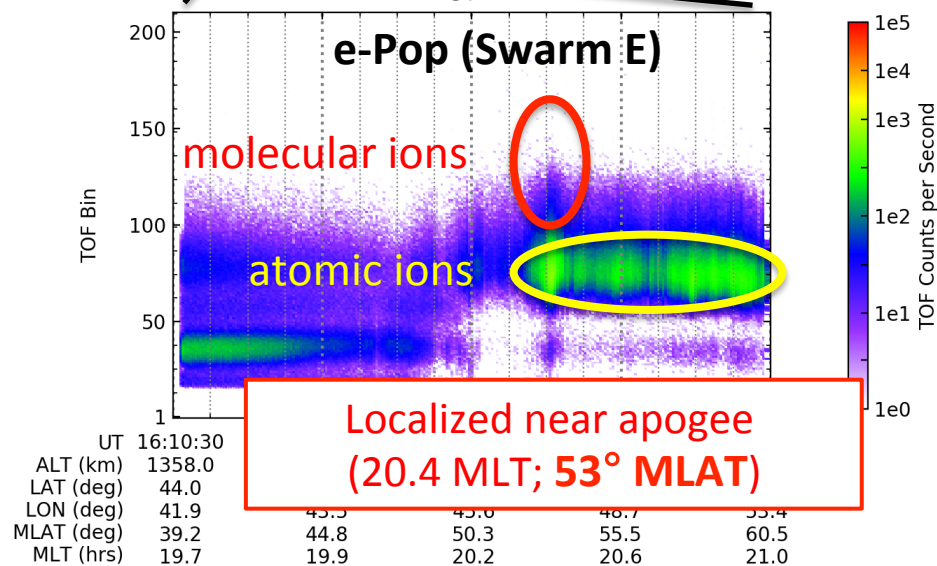
time of flight

Relation to sodium layer and low-latitude source: 2015-10-07 CME event

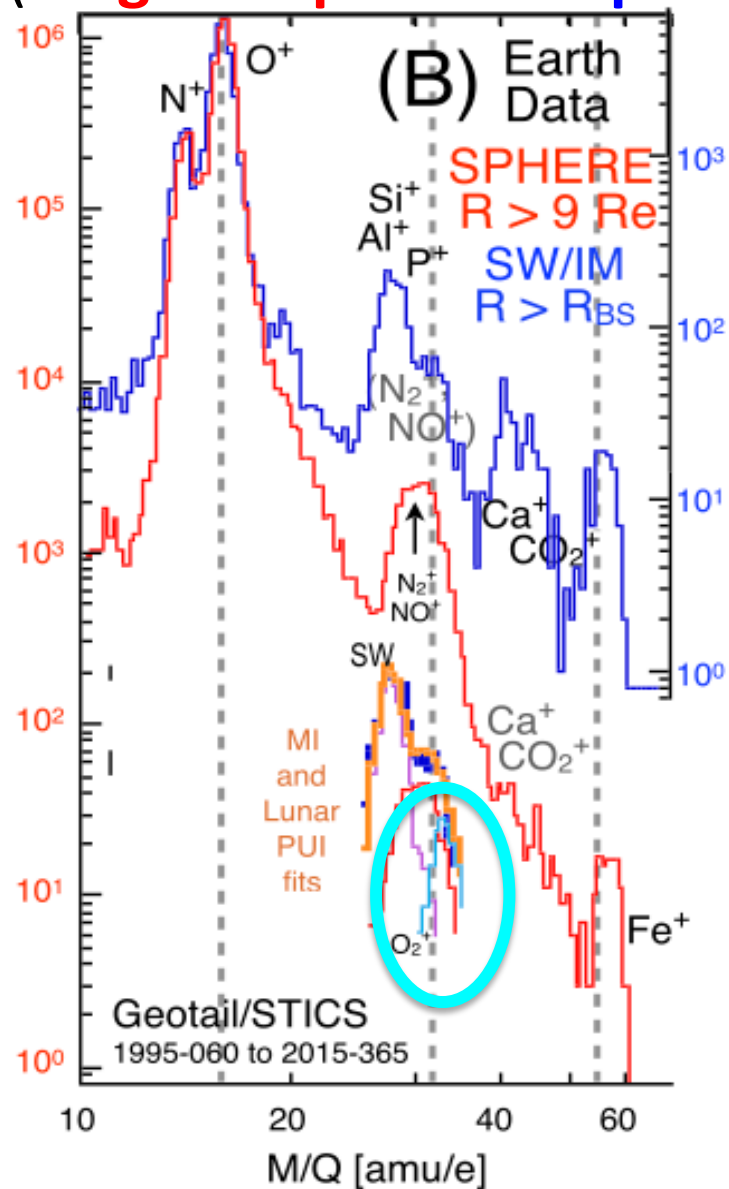


↔
Lidar

Could reach magnetosphere?



Low charge-state energetic ions (magnetosphere vs upstream)



Geotail/STIC statistics (Christon et al, 2020 fig 5)